

3. Authorized Trustee(s)

- Please list all of the current trustees named under the Trust.
- If there are more than three trustees named, please use an additional form to list the additional trustees.

Trustee Information

Name of Trustee (*first, middle initial, last*)

 - -

Trustee's Social Security Number

 - -

Trustee's Date of Birth (*mm/dd/yyyy*)

Citizenship: USA Resident Alien Non-Resident Alien (Complete IRS Form W-8BEN)

 - -

Trustee's Residential Phone Number

Trustee's Residential Address

City

State

 -

Zip

Co-Trustee Information

Name of Co-Trustee (*first, middle initial, last*)

 - -

Co-Trustee's Social Security Number

 - -

Co-Trustee's Date of Birth (*mm/dd/yyyy*)

Citizenship: USA Resident Alien Non-Resident Alien (Complete IRS Form W-8BEN)

 - -

Co-Trustee's Residential Phone Number

Co-Trustee's Residential Address

City

State

 -

Zip

7. Certifications and Acknowledgement

The Trustee(s) hereby certify, acknowledge and understand that:

1. Neither The Hartford SMART529 nor anyone acting as an agent of The Hartford SMART529 is responsible to determine the authority of the Trustee(s) or inquire into, or review the provisions of the Trust, and shall not be charged with knowledge of the terms of the Trust;
2. Beneficial interests under the Trust can and will only be established for persons who (i) are related to the Account Owner by blood or by law, (ii) have a substantial interest in the Account Owner engendered by love and affection, or (iii) hold a lawful and substantial economic interest in the continued life of the Account Owner;
3. The Hartford SMART529 will rely upon this certification and will not be held liable for any act taken by it pursuant to and in reliance upon this certification and upon the representations made herein;
4. There are no other trustees of the Trust other than the ones named in this form.
5. The trustee(s) agrees to notify The Hartford SMART529 in writing of any amendment to the Trust, any change in the composition of the trustees or any other event that may alter the certifications contained herein and that The Hartford SMART529 may rely on the validity of this certification absent receipt of such notice; and
6. The undersigned trustee(s) agrees, on behalf of the above named trust, to indemnify and hold harmless The Hartford SMART529, its agents and employees from all loss, expense, costs and liability of any nature that may arise as a result of any action taken by The Hartford SMART529, its agents or employees in reliance upon this certification.
7. Neither The Hartford SMART529 nor its agents or employees provide tax or legal advice and make no representations as to the application of any lookback/incident of ownership requirements that may be applied to this policy. As with all matters of a tax or legal nature, clients should consult their own tax or legal counsel for advice.

8. Signatures

• **PLEASE NOTE:** All named Trustees must sign. If there are more than three trustees named, please use an additional form for additional trustees signatures.

SIGNATURE

Signature of Trustee

□□ – □□ – □□□□

Date (mm/dd/yyyy)

Title (If Applicable)

SIGNATURE

Signature of Co-Trustee

□□ – □□ – □□□□

Date (mm/dd/yyyy)

Title (If Applicable)

SIGNATURE

Signature of Co-Trustee

□□ – □□ – □□□□

Date (mm/dd/yyyy)

Title (If Applicable)