

Hartford Funds Coverdell Education Savings Account Transfer of Assets Form

HARTFORDFUNDS

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Purpose

Use this form to transfer your existing Coverdell Education Savings Account ("ESA") assets from another Coverdell ESA custodian to an existing Hartford Funds Coverdell ESA.

Section A - Student and Responsible Individual Information

Student's First Name, Middle Initial, Last Name	Existing Hartford Funds Account Number
Student's Date of Birth (mm/dd/yyyy)	Student's Social Security Number
Responsible Individual's First Name, Middle Initial, Last Name	Responsible Individual's Telephone Number

Section B - Fund Selection

For a guide to fund names and numbers, please refer to **Fund List AC_IRA**, which is available on our website at Hartfordfunds.com.

- Provide an approximate dollar amount for the incoming transfer/rollover \$_____
- The minimum investment is \$2,000 per fund, except for the following funds for which the minimum is \$5,000: Real Asset Fund, Emerging Markets Local Debt Fund, and Emerging Markets Multi-Sector Fund.

☐ Invest the transferred proceeds in accordance with the Fund Selection on file.

☐ Invest the transferred proceeds as directed below using whole percentages that together total 100%:

Fund Number	Dollar Amount	Percentage	Fund Number	Dollar Amount	Percentage
_____	\$ _____	or _____%	_____	\$ _____	or _____%
_____	\$ _____	or _____%	_____	\$ _____	or _____%
_____	\$ _____	or _____%	_____	\$ _____	or _____%
_____	\$ _____	or _____%	_____	\$ _____	or _____%
			TOTAL INVESTMENT:	\$ _____	or _____%

Section C – Current Coverdell ESA Information

Name of Resigning Trustee/Custodian for Current Coverdell ESA			
Address for Resigning Trustee or Custodian			
City	State	ZIP Code	Current Account Number
Registration			
Attention		Telephone Number of Trustee/Custodian	

Indicate the type of investment(s) currently held in the resigning account. Check all that apply.

☐ Hartford Funds

☐ Mutual funds (other than Hartford Funds)

☐ Other investments

☐ Annuities (check the box that applies):

☐ Annuity contract is lost

OR

☐ Annuity contract is included

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☐ Certificate of Deposit, ("CD")* (check the box that applies):

☐ Liquidate immediately **OR** ☐ Liquidate at maturity - Maturity Date _____ (mm/dd/yyyy)

* Unless otherwise indicated, CD liquidation is effective immediately. Submit this form four weeks before the CD matures so Hartford Funds can promptly process the transaction.

Section D - Transfer Instructions to Resigning Trustee or Custodian

I instruct my present Trustee/Custodian to:

☐ Liquidate

☐ All of my account

☐ Part of my account (if partial, enter fund name(s) and amount or shares in the fields below):

☐ Transfer in-kind shares (checking this box authorizes a transfer in-kind of Hartford Fund shares from my present Trustee/Custodian to my Hartford Funds Coverdell ESA with UMB Bank, n.a. as Custodian.)

☐ All

☐ Part of my account (if partial, enter fund names(s) and amount or share fields below):

Account/Contract Number	Fund Name	Dollar Amount		Shares
		\$	or	
		\$	or	
		\$	or	
		\$	or	
		\$	or	
TOTAL INVESTMENT:		\$	or	

☐ Transfer immediately

☐ Transfer upon maturity (enter date of maturity)

☐ Liquidate immediately **OR** ☐ Liquidate at maturity - Maturity Date _____ (mm/dd/yyyy)

This transaction is to be executed between trustees/custodians and will not place the account owner in actual receipt of all or any of the plan or account assets.

Section E - Authorization to Transfer

I have established a Coverdell ESA with Hartford Funds and have appointed UMB Bank, n.a. as successor Custodian.

I understand that the requirements for a valid transfer between Coverdell ESAs are complex and acknowledge having responsibility for complying with all requirements and for the results of any such transfer. By signing below, I certify that I am authorized under the terms of my current Coverdell ESA agreement to complete this transfer and I authorize and direct the current custodian or trustee to make the transfer specified in the form.

Responsible Individual's Name (print)

Responsible Individual's Signature

Date Signed (mm/dd/yyyy)

Note: Medallion Signature Guarantee may be required by your resigning Trustee or Custodian. Please contact that institution for requirements.

Medallion Signature Guarantee Stamp Here

Section F - Custodian Acceptance

UMB Bank, n.a. agrees to accept transfer of the above amount for deposit to the named Student's UMB Bank, n.a. custodial Coverdell ESA and requests the liquidation and transfer of assets as indicated above. See Letter of Acceptance (either separately provided or attached) for the signature of an authorized officer of the custodial agent.

**Fax this completed form to (888) 802-0039,
or mail it to the appropriate address below.**

**For standard mail delivery,
please mail this form to:**

Hartford Funds
P.O. Box 219060
Kansas City, MO 64121-9060

**For private express mail,
please mail this form to:**

Hartford Funds
801 Pennsylvania Ave Suite 219060
Kansas City, MO 64105-1307

If you have questions or require more information, contact your financial professional or call Hartford Funds at (888) 843-7824.