

Hartford Funds Non-Qualified Transfer Form

HARTFORDFUNDS

Our benchmark is the investor.*

Sales of non-qualified assets may be a taxable event. Hartford Funds recommends that you consult with a professional tax advisor regarding any questions concerning distributions from your non-qualified accounts.

Cost Basis Information

The Energy Improvement and Extension Act of 2008 and Internal Revenue Service (IRS) regulations require fund companies that produce 1099-B tax forms to include, among other things, cost basis reporting and holding period information for shares purchased on or after January 1, 2012.

If your transaction will open a new fund, and you have not previously informed us of an election method that can be applied to new funds, the default method of Average Cost will be placed on the new fund. To elect a different cost basis method, complete the Cost Basis Method Election Form (MF-10087) and submit it with this form.

Hartford Funds cannot offer tax advice. You should consult with a qualified tax advisor to determine the method that makes the most sense for you, or if you have tax questions regarding your account.

Contact Information:

Online: hartfordfunds.com**Telephone:** 1-888-843-7824**Fax:** 1-888-802-0039**Attn:** Hartford Funds

(Note: Medallion Signature Guarantee stamp cannot be faxed)

Section A - Hartford Funds Account Owner Information

Owner's Name	Pre-assigned or Existing Hartford Funds Account Number	
Owner's Social Security Number	Owner's Date of Birth (mm/dd/yyyy)	Owner's Telephone Number
Joint Owner's Name (if applicable)		
Joint Owner's Social Security Number	Joint Owner's Date of Birth (mm/dd/yyyy)	Joint Owner's Telephone Number

Section B - Fund Selection

Approximate amount of the transfer: \$ _____

I instruct Hartford Funds to invest the transferred proceeds in the pre-assigned or existing Hartford Funds account number referenced in Section A, as follows:

- ☐ In accordance with the fund selection provided in the Hartford Funds Application attached to the form.
- ☐ As directed in the table below.

For a complete list of funds, please refer to **Fund List AC**, which is available on our website at Hartfordfunds.com

Fund Number	Dollar Amount	Percentage	Fund Number	Dollar Amount	Percentage
_____	\$ _____ or _____%		_____	\$ _____ or _____%	
_____	\$ _____ or _____%		_____	\$ _____ or _____%	
_____	\$ _____ or _____%		_____	\$ _____ or _____%	
_____	\$ _____ or _____%		_____	\$ _____ or _____%	
			TOTAL INVESTMENT: \$ _____		

Section C - Transfer Instructions to Surrendering Company

I instruct the surrendering company to execute a:

- ☐ Full liquidation
- ☐ Partial liquidation (enter amount) \$ _____

I instruct the surrendering company to transfer:

- ☐ In-kind shares (checking this box authorizes a transfer in-kind of Hartford Fund shares held at the surrendering company to Hartford Funds)
- ☐ Immediately

Upon maturity (enter date of maturity): ____ / ____ / ____
(mm) (dd) (yyyy)

Section D - Current Plan Information

Name of Surrendering Company	Current Account Number*		
Overnight Address of Surrendering Company	City	State	ZIP Code
Attention	Account Owner's Name	Telephone Number of Surrendering Company	

*If this represents a mutual fund transfer, please indicate below each fund and account number being transferred from:

Account number	Fund number, CUSIP number or ticker

Please provide a copy of your most recent statement.

Section E - Authorization and Acknowledgment

I authorize the liquidation or transfer of in-kind assets noted in Section C, which your company holds for me.

Note: Your resigning Trustee or Custodian may require your signature to be guaranteed. Please call that institution for requirements.

Owner's signature

Date Signed (mm/dd/yyyy)

Medallion Signature Guarantee Stamp Here

Joint owner's/Trustee's signature (if applicable)

Date Signed (mm/dd/yyyy)

Medallion Signature Guarantee Stamp Here

A medallion signature guarantee is not the same as a notarized signature. You must obtain a medallion signature guarantee from a commercial bank, trust company, savings association, credit union, or a registered broker/dealer that participates in the medallion signature guarantee program. The guarantee must state the words "Medallion Signature Guarantee Stamp."

Section F - Instructions to Surrendering Company

- Make the check(s) payable to Hartford Funds
- Include the Hartford Funds account number and FBO information
- Send the check(s) to one of the addresses below
- Certificates are not accepted

Have you...

- provided complete account owner information in Section A?
- made your fund selection(s) in Section B?
- provided complete transfer instructions to the surrendering company in Section C?
- provided your current plan information in Section D?
- provided the required signature(s) in Section E and a Medallion Signature Guarantee, if necessary?
- signed and dated the form in Section E and received a signature guarantee if the Power of Attorney is acting on behalf of the account owner?

**For standard mail delivery,
please mail this form to:**

Hartford Funds
P.O. Box 219060
Kansas City, MO 64121-9060

**For private express mail,
please mail this form to:**

Hartford Funds
801 Pennsylvania Ave Suite 219060
Kansas City, MO 64105-1307