

Important Notice – The USA PATRIOT Act

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. In some cases, Federal law also requires us to verify and record information that identifies the natural persons who control and beneficially own a legal entity that opens an account.

What this means to you: When you open an account, we will ask for names, addresses, dates of birth, and other information that will allow us to identify you and certain other natural persons associated with the account. This information will be verified to ensure the identity of all such natural persons.

In some cases it may be necessary for you to provide documentary evidence, such as an image of your driver's license or passport, to satisfy the identity verification requirement.

Purpose

To open a Y share account.

- Must qualify as an "investor" as described in the prospectus
- Not for individual investors
- Minimum Initial Investment is \$250,000

Section A - Account Registration

Please select one of the registration types below:

1. Legal Entities

- ☐ Limited Liability Company
 ☐ C Corporation
 ☐ S Corporation
 ☐ Partnership
 ☐ Statutory Trust
☐ Non-Profit
☐ Sole Proprietorship
☐ Regulated Financial Institution
☐ Governmental Entity
☐ Estate

Publicly Traded: ☐ Yes ☐ No If 'Yes' was selected, list symbol here: _____

If Regulated Financial Institution Name of Primary Regulator _____

Regulatory Registration ID _____

| | | | |
|--|--|---|-------------------|
| Name of Entity | | Tax Identification Number (TIN) | |
| Trustee Name(s) (if applicable) | | | |
| Address (P.O. Boxes not allowed) | | City | State ZIP Code |
| Owner Email Address | | | |
| Name of Primary Contact Person | | Phone Number of Primary Contact Person | |
| Sole Proprietor Social Security Number (if applicable) | | Sole Proprietor Date of Birth (if applicable) | |

Notes: All legal entities are required to complete the Corporate Resolution Form (MF-10103). If you are opening an account for a Limited Liability Company, C Corporation, S Corporation, Partnership, Statutory Trust, or Non-Profit, you must also complete the Legal Entity Beneficial Ownership Certification Form (MF-10098) and provide a formation document (e.g. Articles of Incorporation) that proves the legal existence of the entity.

If the legal entity is a Sole Proprietorship, the Sole Proprietor must provide their date of birth and SSN.

2. Trust Accounts

| | | |
|---------------|---------------------------------|-------------------------|
| Name of Trust | Tax Identification Number (TIN) | Date of Trust Agreement |
|---------------|---------------------------------|-------------------------|

Note: If you are opening an account in the name of a trust you must also complete the: Hartford Funds Trustee Certification Form (MF-10092).

Section B - Trusted Contact Person Information (optional)

By choosing to provide information about a trusted contact person, you authorize us to contact the trusted contact person listed below and disclose information about your account to that person in the following circumstances: to confirm your current contact information, identity of any legal guardian, executor, trustee or holder of a power of attorney, or as otherwise permitted by FINRA Rule 2165 (Financial Exploitation of Specified Adults).

Notes:

The trusted contact person named below will not have authority to perform financial transactions on your account unless that person has otherwise been designated authority under a power of attorney or through a custodial arrangement.

Your trusted contact person should not be a joint account owner or the financial professional on record.

Changes to or removal of a designated trusted contact person must be in writing.

| | | | |
|--|----------------------------|-----------------------|----------|
| Trusted Contact Name (age 18 or older) | Date of Birth (mm/dd/yyyy) | Relationship to Owner | |
| Telephone Number | Mobile Number | Work Number | |
| Mailing Address | City | State | ZIP Code |

Section C - Broker/Dealer Information (your financial professional can provide this information)

| | | | |
|----------------------------------|--------------------|-------|----------|
| Registered Representative's Name | Broker/Dealer Name | | |
| Dealer Number | Branch Number | | |
| Branch Street Address | City | State | ZIP Code |
| Telephone Number | Rep Number | | |

Section D - Investment Instructions

Amount of initial investment \$_____ (approximate dollar amount for incoming transfer)

- The minimum investment is \$250,000 per fund.

Indicate method of investment. Check one:

☐ By check

All checks should be payable to Hartford Funds. Third-party checks, starter or counter checks, or money orders will not be accepted.

☐ By wire

Call 888-843-7824 for wiring instructions. Wire transfers are available upon request for amounts of \$500 or more.

☐ By Automated Clearing House ("ACH") Network

ACH purchase limit is \$100,000 per investor per day. Please provide bank information in Section I.

☐ By incoming transfer

Section E - Fund Selection

Please make your fund selection in the table below. For a guide to fund names and numbers, please see the fund list.

- Please use whole percentages that together total 100%. All future payments will be applied based on the selected funds unless otherwise instructed.

| Fund Number | Dollar Amount | Percentage | Fund Number | Dollar Amount | Percentage |
|--------------------------|---------------|------------|-------------|---------------|------------|
| _____ | \$ _____ | or _____% | _____ | \$ _____ | or _____% |
| _____ | \$ _____ | or _____% | _____ | \$ _____ | or _____% |
| _____ | \$ _____ | or _____% | _____ | \$ _____ | or _____% |
| _____ | \$ _____ | or _____% | _____ | \$ _____ | or _____% |
| TOTAL INVESTMENT: | | | \$ _____ | or _____% | |

Section F - Cost Basis Information

Internal Revenue Service (IRS) regulations require fund companies that produce 1099-B tax forms to include, among other things, cost basis reporting and holding period information for any new shares purchased on or after January 1, 2012.

1. Cost Basis Methods (review before completing Section F2)

| | |
|------------------------------|---|
| Average Cost (ACST) | Each time you request to remove shares, this method will add the adjusted cost of the shares in the account and divide the total cost by the number of shares to calculate an average cost for each share. Adjustments to cost per share may be due to prior calculations or other transactions. This method averages the cost of uncovered shares separately from covered shares, and will remove all uncovered shares first. |
| First in, First Out (FIFO) | This method will remove shares based on the order purchased; shares purchased first will be removed first. |
| Last In, First Out (LIFO) | This method will remove shares based on the order purchased; shares purchased last will be removed first. |
| High Cost, First Out (HIFO) | This method will remove shares based on the cost per share; shares with the highest cost per share will be removed first. |
| Low Cost, First Out (LOFO) | This method will remove shares based on the cost per share; shares with the lowest cost per share will be removed first. |
| Loss/Gain Utilization (LGUT) | This method will remove shares based on the calculated gain or loss per share in the following order: 1. Short-term losses per share, in order of greatest loss per share to least 2. Long-term losses per share, in order of greatest loss per share to least 3. Long-term gains per share, in order of least gain per share to greatest 4. Short-term gains per share, in order of least gain per share to greatest |

2. Election Method Selection (complete one option below)

Hartford Funds will apply the default cost basis method of Average Cost (ACST) to all funds and accounts you or your agent do not list below.

Hartford Funds cannot offer tax advice. You should consult with a qualified tax professional to determine the method that makes the most sense for you, or if you have tax questions regarding your account.

When choosing FIFO, LIFO, HIFO, LOFO, or LGUT for your cost basis method, you may override this election by identifying specific lots. Specific lot information must be given to Hartford Funds at the time of the applicable transaction, such as a redemption, exchange, or transfer.

Hartford Funds Account Application - Class Y

| | | | | | | |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Elect a method for all funds and accounts under the SSN/TIN of the primary owner listed in Section A. This method will also apply to all funds/accounts the primary owner may own in the future. | ACST | FIFO | LIFO | HIFO | LOFO | LGUT |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| OR | | | | | | |
| Elect a different method for each fund included in this request | | | | | | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Section G - Distribution Options

Please select one option below. If no option is chosen, option 1 will be selected.

1. ☐ Reinvest all dividends and capital gains into additional shares in this account
2. ☐ Pay dividends and reinvest capital gains.
3. ☐ Pay capital gains and reinvest dividends
4. ☐ Pay all dividends and capital gains

If option 2, 3, or 4 is chosen, send distributions to:

- ☐ Address indicated in Section A
☐ Bank listed in Section I

Note: For information regarding the frequency of distribution for a particular Fund, please see that Fund's prospectus.

Section H - Online Account Access and Electronic Delivery

After receiving your new account confirmation, visit hartfordfunds.com/myaccount to set up online account access. Once you have set up your online account, you will then be able to navigate to the Account Profile tab and enroll in electronic delivery of certain account documents.

Section I - Bank Account or Credit Union Information

Important: By signing this paperwork, you agree and confirm that your use of the ACH will not result in transfers to or from a financial institution outside of the United States. You also understand it is your responsibility to notify Hartford Funds if any changes to your status occur that may require funds to be sent to or from a financial institution outside of the United States.

All owners of the bank account must sign this form.

Bank account type: ☐ Checking account (attach voided check)
☐ Savings account (attach deposit slip)

Hartford Funds Account Application - Class Y

| | | | | | | | | | |
|---|--|----------------------|--|--|--|--|--|--|--|
| Bank or Credit Union Account Number | Bank or Credit Union ABA Routing Number (must be 9 digits) | <input type="text"/> | | | | | | | |
| Bank or Credit Union Account Owner's Name (print) | Bank or Credit Union Account Owner's Signature | Date (mm/dd/yyyy) | | | | | | | |
| Bank or Credit Union Account Joint Owner's Name (print) | Bank or Credit Union Account Joint Owner's Signature | Date (mm/dd/yyyy) | | | | | | | |

Note: If the registration on the bank account is different from the registration on the Hartford Funds account, we require a Medallion Signature Guarantee.

Medallion Signature Guarantee Stamp Here

John Q. Public
123 Main Street
Anywhere, ST 00000-0000

0000

Pay to the order of

VOID

\$

Dollars

Any Bank
Any Town, ST 00000

MEMO

:0000000000:|

0000000000

XXXX

Bank Routing Number

Account Number

Attach an original voided check here and mail to Hartford Funds. Please use tape instead of staples.



- No faxed copies allowed.
- No Starter Checks.
- ACH will arrive in 2-3 business days.
- There is no fee for this option.

Section J - Additional Required Documents (attach to account application)

Note: New accounts for the account types listed below will not be opened unless the additional requirements are included with this account application.

| Account Type | Additional Requirement |
|--|--|
| All Legal Entity Accounts | Hartford Funds Corporate Resolution Form (MF-10103) |
| Limited Liability Company (LLC), C Corporation, S Corporation, Partnership, Statutory Trust, or Non-Profit | Formation Document (e.g. Articles of Incorporation) Legal Entity Beneficial Ownership Certification Form (MF-10098) |
| Trust | Hartford Funds Trustee Certification Form (MF-10092) |
| Power of Attorney | Hartford Funds Power of Attorney (POA) Form (MF-10102) |

Section K - Signature and Taxpayer Identification Number Certification (required)

I have received and read Hartford Funds' prospectus for each of the Funds I am investing in and believe that the investment is suitable for me.

I understand that Hartford Funds is not providing me investment advice. I understand that Hartford Funds is not acting as a fiduciary. I understand that I should consult with my own financial professional before making any investment decision.

Neither Hartford Funds nor any of its service providers and/or any employee will be liable for any claims, losses, or expenses for acting on any instructions or inquiries believed to be genuine provided that reasonable security procedures have been followed.

I understand my property may be transferred to the appropriate state if no activity occurs in the account within the time frame specified by state law.

I understand that if I invest in the Funds directly with the transfer agent I will be charged a \$30 annual direct account fee on or about June 1 each year, which will be deducted automatically from my account.

My signature below indicates I have full authority, am of legal age to purchase shares and have received the prospectus for each of the funds selected and agree to the terms therein and herein.

W-9 Certification - By signing below you agree that the following certification applies to each person signing unless the person signing has indicated that they are not a U.S. person (for tax purposes) on this form.

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct Social Security Number or Taxpayer Identification Number, and
2. I am not subject to backup withholding because: a) I am exempt from backup withholding, or b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (including a U.S. resident alien) as defined in the instructions to IRS Form W-9, and
4. I am exempt from FATCA reporting (if applicable).

Certification Instructions: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

If you do not provide a correct taxpayer identification number, you may be subject to a \$50 IRS penalty.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Authorized Signer's Name (print)

Authorized Signer's Signature

Date Signed (mm/dd/yyyy)

Trustee(s) Name (if applicable) (print)

Trustee(s) Signature (if applicable)

Date Signed (mm/dd/yyyy)

Power of Attorney Name (if applicable) (print)

Power of Attorney Signature (if applicable)

Date Signed (mm/dd/yyyy)

Customer Privacy Notice
The Hartford Insurance Group, Inc. and Affiliates
(herein called “we, our, and us”)

This Privacy Policy applies to our United States Operations

We value your trust. We are committed to the responsible:

- a) management;
 - b) use; and
 - c) protection;
- of **Personal Information**.

This notice describes how we collect, disclose, and protect **Personal Information**.

We collect **Personal Information** to:

- a) service your **Transactions** with us; and
- b) support our business functions.

We may obtain **Personal Information** from:

- a) **You**;
- b) your **Transactions** with us; and
- c) third parties such as a consumer-reporting agency.

Based on the type of product or service **You** apply for or get from us, **Personal Information** such as:

- a) your name;
 - b) your address;
 - c) your income;
 - d) your payment; or
 - e) your credit history;
- may be gathered from sources such as applications, **Transactions**, and consumer reports.

To serve **You** and service our business, we may share certain **Personal Information**. We will share **Personal Information**, only as allowed by law, with affiliates such as:

- a) our insurance companies;
- b) our employee agents;
- c) our brokerage firms; and
- d) our administrators.

As allowed by law, we may share **Personal Financial Information** with our affiliates to:

- a) market our products; or
 - b) market our services;
- to **You** without providing **You** with an option to prevent these disclosures.

We may also share **Personal Information**, only as allowed by law, with unaffiliated third parties including:

- a) independent agents;
 - b) brokerage firms;
 - c) insurance companies;
 - d) administrators; and
 - e) service providers;
- who help us serve **You** and service our business.

When allowed by law, we may share certain **Personal Financial Information** with other unaffiliated third parties who assist us by performing services or functions such as:

- a) taking surveys;
- b) marketing our products or services; or
- c) offering financial products or services under a joint agreement between us and one or more financial institutions.

We, and third parties we partner with, may track some of the pages **You** visit through the use of:

- a) cookies;
- b) pixel tagging; or
- c) other technologies.

For more information, our Online Privacy Policy, which governs information we collect on our website and our affiliate websites, is available at <https://www.thehartford.com/online-privacy-policy>.

We will not sell or share your **Personal Financial Information** with anyone for purposes unrelated to our business functions without offering **You** the opportunity to:

- a) “opt-out;” or
 - b) “opt-in;”
- as required by law.

We only disclose **Personal Health Information** with:

- a) your authorization; or
- b) as otherwise allowed or required by law.

Our employees have access to **Personal Information** in the course of doing their jobs, such as:

- a) underwriting policies;
- b) paying claims;
- c) developing new products; or
- d) advising customers of our products and services.

We use manual and electronic security procedures to maintain:

- a) the confidentiality; and
 - b) the integrity of;
- Personal Information** that we have. We use these procedures to guard against unauthorized access.

Some techniques we use to protect **Personal Information** include:

- a) secured files;
- b) user authentication;
- c) encryption;

- d) firewall technology; and
- e) the use of detection software.

We are responsible for and must:

- a) identify information to be protected;
- b) provide an adequate level of protection for that data; and
- c) grant access to protected data only to those people who must use it in the performance of their job-related duties.

Employees who violate our privacy policies and procedures may be subject to discipline, which may include termination of their employment with us.

We will continue to follow our Privacy Policy regarding **Personal Information** even when a business relationship no longer exists between us.

As used in this Privacy Notice:

Application means your request for our product or service.

Personal Financial Information means financial information such as:

- a) credit history;
- b) income;
- c) financial benefits; or
- d) policy or claim information.

Personal Financial Information may include Social Security Numbers, Driver's license numbers, or other government-issued identification numbers, or credit, debit card, or bank account numbers.

Personal Health Information means health information such as:

- a) your medical records; or
- b) information about your illness, disability or injury.

Personal Information means information that identifies **You** personally and is not otherwise available to the public. It includes:

- a) **Personal Financial Information**; and
- b) **Personal Health Information**.

Transaction means your business dealings with us, such as:

- a) your **Application**;
- b) your request for us to pay a claim; and
- c) your request for us to take an action on your account.

You means an individual who has given us **Personal Information** in conjunction with:

- a) asking about;
 - b) applying for; or
 - c) obtaining;
- a financial product or service from us if the product or service is used mainly for personal, family, or household purposes.

If you have any questions or comments about this privacy notice, please feel free to contact us at The Hartford – Consumer Rights and Privacy Compliance Unit, One Hartford Plaza, Mail Drop: HO1-09, Hartford, CT 06155, or at ConsumerPrivacyInquiriesMailbox@thehartford.com.

This Customer Privacy Notice is being provided on behalf of The Hartford Insurance Group, Inc. and its affiliates (including the following as of February 2025), to the extent required by the Gramm-Leach-Bliley Act and implementing regulations:

1stAGChoice, Inc.; Access CoverageCorp, Inc.; Access CoverageCorp Technologies, Inc.; Business Management Group, Inc.; Cervus Claim Solutions, LLC; First State Insurance Company; FTC Resolution Company LLC; Hart Re Group L.L.C.; Hartford Accident and Indemnity Company; Hartford Administrative Services Company; Hartford Asia Limited; Hartford Casualty General Agency, Inc.; Hartford Casualty Insurance Company; Hartford Corporate Underwriters Limited; Hartford Fire General Agency, Inc.; Hartford Fire Insurance Company; Hartford Funds Distributors, LLC; Hartford Funds Management Company, LLC; Hartford Funds Management Group, Inc.; Hartford Holdings, Inc.; Hartford Insurance Company of Illinois; Hartford Insurance Company of the Midwest; Hartford Insurance Company of the Southeast; Hartford Insurance, Ltd.; Hartford Integrated Technologies, Inc.; Hartford Investment Management Company; Hartford Life and Accident Insurance Company; Hartford Lloyd's Corporation; Hartford Lloyd's Insurance Company; Hartford Management, Ltd.; Hartford Management (UK) Limited; Hartford Productivity Services LLC; Hartford Singapore Pte. Ltd; Hartford of the Southeast General Agency, Inc.; Hartford of Texas General Agency, Inc.; Hartford Residual Market, L.C.C.; Hartford Specialty Insurance Services of Texas, LLC; Hartford STAG Ventures LLC; Hartford Strategic Investments, LLC; Hartford Underwriters General Agency, Inc.; Hartford Underwriters Insurance Company; Hartford Underwriting Agency Limited; Heritage Holdings, Inc.; Heritage Reinsurance Company, Ltd.; HLA LLC; Horizon Management Group, LLC; HRA Brokerage Services, Inc.; Lattice Strategies LLC; Maxum Casualty Insurance Company; Maxum Indemnity Company; Maxum Specialty Services Corporation; Millennium Underwriting Limited; MPC Resolution Company LLC; Navigators Holdings (UK) Limited; Navigators Insurance Company; Navigators Management Company, Inc.; Navigators Specialty Insurance Company; Navigators Underwriting Limited; New England Insurance Company; New England Reinsurance Corporation; New Ocean Insurance Co., Ltd.; NIC Investments (Chile) SpA; Nutmeg Insurance Agency, Inc.; Nutmeg Insurance Company; Pacific Insurance Company, Limited; Property and Casualty Insurance Company of Hartford; Sentinel Insurance Company, Ltd.; The Navigators Group, Inc.; Trumbull Flood Management, L.L.C.; Trumbull Insurance Company; Twin City Fire Insurance Company; Y-Risk, LLC.

Hartford Funds - Mutual Funds List

Class Y

HARTFORDFUNDS

Our benchmark is the investor.®

| Fund Name | Class Y - Fund # |
|--|------------------|
| Hartford Balanced Income Fund | 1292 |
| Hartford Capital Appreciation Fund | 216 |
| Hartford Climate Opportunities Fund | 1918 |
| Hartford Conservative Allocation Fund | 3196 |
| Hartford Core Equity Fund | 260 |
| Hartford Schroders Diversified Emerging Markets Fund | 1008 |
| Hartford Dividend and Growth Fund | 225 |
| Hartford Dynamic Bond Fund | 3176 |
| Hartford Emerging Markets Equity Fund | 1018 |
| Hartford Schroders Emerging Markets Equity Fund | 1842 |
| Hartford Emerging Markets Local Debt Fund | 1025 |
| Hartford Schroders Emerging Markets Multi-Sector Bond Fund | 1818 |
| Hartford Equity Income Fund | 1661 |
| Hartford Floating Rate Fund | 1212 |
| Hartford Low Duration High Income Fund | 1039 |
| Hartford Global Impact Fund | 1721 |
| Hartford Growth Opportunities Fund | 1621 |
| Hartford Healthcare Fund | 1613 |
| Hartford High Yield Fund | 204 |
| Hartford Inflation Plus Fund | 1649 |
| Hartford International Growth Fund | 1276 |
| Hartford Schroders International Multi-Cap Value Fund | 1862 |
| Hartford International Opportunities Fund | 209 |
| Hartford Schroders International Stock Fund | 1874 |
| Hartford International Value Fund | 1530 |
| Hartford MidCap Fund | 229 |
| Hartford MidCap Value Fund | 1284 |
| Hartford Multi-Asset Income and Growth Fund | 213 |
| Hartford Real Asset Fund | 1537 |
| Hartford Short Duration Fund | 1645 |
| Hartford Small Cap Growth Fund | 1625 |
| Hartford Small Cap Value Fund | 1204 |
| Hartford Small Company Fund | 979 |
| Hartford Strategic Income Fund | 1590 |
| Hartford Schroders Core Fixed Income Fund | 2995 |
| Hartford Schroders Tax-Aware Bond Fund | 1803 |
| Hartford Schroders US MidCap Opportunities Fund | 1848 |
| Hartford Schroders US Small Cap Opportunities Fund | 1868 |
| Hartford World Bond Fund | 1032 |

**Fax this completed form to (888) 802-0039,
or mail it to the appropriate address below.**

**For standard mail delivery,
please mail this form to:**

Hartford Funds
P.O. Box 219060
Kansas City, MO 64121-9060

**For private express mail,
please mail this form to:**

Hartford Funds
801 Pennsylvania Ave Suite 219060
Kansas City, MO 64105-1307

If you have questions or require more information, contact your financial professional or call Hartford Funds at (888) 843-7824.

Following a significant business disruption, Hartford Funds will execute its **Business Continuity Plan** with the goal of recovering and resuming operations as quickly as possible given the scope and severity of the disruption. For further information regarding our Business Continuity Plan, please visit the Business Continuity section of our website at www.hartfordfunds.com or contact Hartford Funds at the above number.