

Transfer on Death (TOD) Form for Hartford Funds Accounts

HARTFORDFUNDS

Our benchmark is the investor.®

General Information

TOD is a form of ownership which enables the owner of an account to designate individuals or other entity such as a trust, corporation, or guardianship to automatically own the account upon the death of the current owner(s). The ownership transfers to the designated beneficiaries without the necessity of probate.

A TOD designation is available only for accounts in the name of a single individual, Joint Tenants with Rights of Survivorship (JTWROS), or Tenants by Entireties (JTEN). Do not use for 403(b) plans or IRA plans with UMB Bank, n.a. as Custodian.

All beneficiary changes become effective on the date that we receive a complete and valid request.

The beneficiary of contracts owned by a non-natural owner (including trusts) must be listed as the non-natural owner for Hartford Funds accounts. The beneficiary cannot be changed.

Account owners that reside in a community property state (AZ, CA, ID, LA, NV, NM, TX, WA, WI, Puerto Rico, and Guam), and designate a primary beneficiary other than a spouse, must complete section C – Spousal Consent for Change of Beneficiary in a Community Property State.

"Per will" is not an acceptable beneficiary designation.

How are my death benefits paid to my beneficiaries?

If there are multiple owners on an account, the death of a joint owner of an account will not revoke the TOD registration. If the surviving owner(s) wish to change a beneficiary, they should complete and submit this form.

Beneficiaries designated by percentages:

If a primary beneficiary predeceases the account owner, and a new primary beneficiary designation is not provided, that beneficiary's percentage of the death benefit will be paid to any named contingent beneficiaries. In the absence of any named contingent beneficiaries, the remaining proceeds will be paid to your estate.

Beneficiaries designated per capita (all shares equally):

If a primary beneficiary predeceases an owner, and a new primary beneficiary designation is not provided, the remaining primary beneficiaries will split the shares equally. If no primary beneficiaries are living the death benefit would be paid to any contingent beneficiaries. In the absence of any named contingent beneficiaries, the remaining proceeds will be paid to your estate.

If you do not elect to designate a primary beneficiary, your account will be paid to your surviving spouse, or if none, your estate. If you designate your spouse as beneficiary, your divorce or other legal termination of the marriage will automatically revoke your designation. You may designate your former spouse as beneficiary by completing a new change of beneficiary form after your divorce is final.

If a beneficiary passes away after the share-owner, but before the death benefit is paid, that portion of the death benefit will be paid to the deceased beneficiary's estate.

Contact Information:

Online:
www.hartfordfunds.com

Telephone:
Call 1-888-843-7824

Fax: 1-888-802-0039
Attn: Hartford Funds

(Note: Medallion Signature Guarantee stamp cannot be faxed)

Section A - Owner information (you must complete this section)

Owner Name	Social Security Number
Account Number	Telephone Number

Section B - New Beneficiary Designations

New Primary Beneficiary Designation

If this section is not completed and a primary beneficiary is currently designated, that designation will remain in effect.

By completing this section, all prior beneficiary designations will be replaced on the account specified in Section A.

If more than one beneficiary is listed and percentages are not provided, we will distribute all shares equally.

If percentages are supplied, they must equal 100%.

Date of birth and/or the last four digits of the Social Security Number are required; if one of them is not supplied, it could delay death benefit payments.

If you wish to designate more than four primary beneficiaries, please attach a separate sheet of paper and include all the same information requested below.

First Name, Middle Initial, Last Name	Date of Birth (mm/dd/yyyy)	Last 4 Digits of Social Security	Relationship to Shareholder	% of Benefit
			<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	%
			<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	%
			<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	%
			<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	%

New Contingent Beneficiary Designation

If this section is not completed and a contingent beneficiary is currently designated, that designation will remain in effect.

By completing this section, all prior contingent beneficiary designations will be replaced on the account specified in Section A.

If more than one beneficiary is listed and percentages are not provided, we will distribute all shares equally.

If percentages are supplied, they must equal 100%.

Date of birth and/or the last four digits of the Social Security Number are required; if one of them is not supplied it could delay death benefit payments.

If you wish to designate more than four contingent beneficiaries, please attach a separate sheet of paper and include all the same information requested below.

First Name, Middle Initial, Last Name	Date of Birth (mm/dd/yyyy)	Last 4 Digits of Social Security	Relationship to Shareholder	% of Benefit
			<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	%
			<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	%
			<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	%
			<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	%

Section C - Spousal Consent for Change of Beneficiary in Community Property States

This section must be completed if the account owner resides in a community property state (AZ, CA, ID, LA, NV, NM, TX, WA, WI, Puerto Rico, or Guam) and names someone other than a spouse as their primary beneficiary.

Due to the important tax consequences of giving up one's community property interest, individuals signing this section should consult with a qualified tax or legal advisor.

Note: Inherited property may or may not be subject to community property, depending on the laws of the applicable state.

Spousal Acknowledgment and Signature Required

I hereby give the account owner any interest I have in the funds or property deposited in this account and consent to the beneficiary designation(s) indicated above. I assume full responsibility for any adverse consequences that may result. No tax or legal advice was given to me by Hartford Administrative Services Company and its affiliates, including Hartford Funds.

Name of Spouse	
Spouse Signature	Date signed

Medallion Signature Guarantee Stamp

I am not married - I understand that if I become married in the future, I may need to complete and send a new TOD Form to Hartford Funds.

A Medallion Signature Guarantee Stamp may be executed by any eligible guarantor found at:

- Commercial banks
- Trust companies
- Savings associations and credit unions, as defined by the Federal Deposit Insurance Act
- Registered broker/dealers

The guarantee must state the words "Medallion Signature Guarantee Stamp" along with the name of the granting institution and the guarantor who witnessed the signature. Please verify, before the letter is signed, that the institution and person witnessing the signature are, in fact, eligible to guarantee the signature. Please note that a Notary Public is not necessarily an eligible guarantor.

Medallion Signature Guarantees cannot be faxed.

Section D - Authorization / Acknowledgment and Taxpayer Identification Number Certification

The undersigned hereby instruct Hartford Administrative Services Company to register the account listed above, in transfer on death form, subject to the TOD regulations of the Securities Transfer Association as now or hereinafter in effect, for the benefit of the beneficiary designated above. Each of the undersigned hereby agrees to indemnify Hartford Administrative Services Company and its affiliates (including Hartford Funds) against any loss, claim, or expense (including reasonable attorney's fees) to the extent that any transfer on death effected pursuant to these instructions is alleged or found for any reason to have been invalid or ineffective for any reason, and Hartford Administrative Services Company and its affiliates (including Hartford Funds) will be entitled to attach or debit the account for the TOD beneficiary to the extent necessary to enforce their rights to this indemnity.

Existing Account Owner	Date
Joint Owner (if applicable)	Date
Power of Attorney	Date

All beneficiary changes become effective on the date that we receive a complete and valid request.

Have you...

- completed Section A, providing owner information?
 - completed all of Section B, including date of birth and last four digits of each beneficiary's SSN?
 - completed Section C, if you live in a community property state?
 - completed Section D by signing the form?
 - if applicable, enclosed supporting documents for a power of attorney?
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**For standard mail delivery,
please mail this form to:**
Hartford Funds
PO Box 219060
Kansas City, MO 64121-9060

**For private express mail,
please mail this form to:**
Hartford Funds
430 W 7th Street Suite 219060
Kansas City, MO 64105-1407