

Take Better Risk

While easy to understand, cap-weighted indexes may introduce unintended risks, which is why a more intentional approach to risk can potentially lead to better results.

Challenges With Cap-Weighted indexes

The market is information-efficient, but rarely risk-efficient

Cap-weighted risks are both inefficient and unintentional

Many alternative index and strategic-beta strategies may introduce new unintended risk exposures

Hartford Funds Systematic ETFs

Identify and seek to reduce exposures to unrewarded risk

Intentionally allocate towards positions the adviser believes can provide positively rewarded risk

Seek to holistically manage the interaction between defensive and offensive characteristics

Systematic ETF Investment Process

We employ a systematic and repeatable methodology designed to simultaneously address risk while seeking exposure to return-enhancing factors to generate what we believe is the best possible opportunity to grow capital over time.

1

Establish Eligible Universe

Utilize liquidity screens to determine the eligible universe of securities to be considered for inclusion

2

Set Risk Parameters

Allocate risk across sectors and securities; seek to reduce concentrations; establish a volatility reduction target

3





Select and Weight Securities

Select companies displaying what we believe are favorable combinations of risk/return factor exposures

4

Reconstitute and Rebalance

Systematically redeploy the investment process to ensure portfolio consistency

Ticker	Hartford Funds Strategy	ETF Highlights	Morningstar Medalist Rating ¹	Morningstar Category	Expense Ratio ²
HDUS	Hartford Multifactor Disciplined US Equity ETF	Designed to provide core US large-cap equity exposure and seeks to offer an attractive dividend yield		Large Blend	0.19%
ROUS	Hartford Multifactor US Equity ETF	Focuses exposure to the large-cap universe by de-emphasizing mega caps	 As of 11/2/22	Large Value	0.19%
RODM	Hartford Multifactor Developed Markets (ex-US) ETF	Offers exposure to developed non-US equities beyond the largest holdings	 As of 9/28/22	Foreign Large Value	0.29%
ROSC	Hartford Multifactor Small Cap ETF	Invests in smaller developing companies earlier in their growth curve	 As of 5/31/23	Small Value	0.34%
ROAM	Hartford Multifactor Emerging Markets ETF	Seeks to provide broad exposure to emerging economies	 As of 5/31/23	Diversified Emerging Markets	0.44%

**For financial professionals seeking more information on Hartford Multifactor ETFs,
Please call us at 800-456-7526 and visit us at hartfordfunds.com**

¹ ROUS: Analyst-Driven: 100%. Data Coverage: 100%. RODM: Analyst-Driven: 100%. Data Coverage: 100%. ROSC: Analyst-Driven: 20%. Data Coverage: 89%. ROAM: Analyst-Driven: 20%. Data Coverage: 98%. The Morningstar Medalist Rating™ is the summary expression of Morningstar's forward-looking analysis using a rating scale of Gold, Silver, Bronze, Neutral, and Negative. The Medalist Ratings indicate which funds Morningstar believes are likely to outperform a relevant index or peer group average on a risk-adjusted basis over time. Funds are evaluated on three key pillars (People, Parent, and Process) which, when coupled with a fee assessment, forms the basis for the Medalist Rating they're assigned. Pillar ratings (Low, Below Average, Average, Above Average, and High) may be evaluated via an analyst's qualitative assessment (Analyst-Driven %) or using algorithmic techniques (Data Coverage %). Funds are sorted by their expected performance into rating groups defined by their Morningstar Category and their active or passive status. When analysts directly cover a fund, the rating is monitored and reevaluated at least every 14 months. When a fund is covered either indirectly by analysts or by algorithm, the rating is assigned monthly. For more detailed information, including their methodology, please go to global.morningstar.com/managerdisclosures.

Morningstar Medalist Ratings are not statements of fact, nor are they credit or risk ratings. They (i) should not be used as the sole basis in evaluating a fund, (ii) involve unknown risks and uncertainties which may cause expectations not to occur or to differ significantly from what was expected, (iii) are not guaranteed to be based on complete or accurate assumptions or models when determined algorithmically, (iv) involve the risk that the return target will not be met due to such things as unforeseen changes in management, technology, economic development, interest rate development, operating and/or material costs, competitive pressure, supervisory law, exchange rate, tax rates, exchange rate changes, and/or changes in political and social conditions, and (v) should not be considered an offer or solicitation to buy or sell a fund. A change in the fundamental factors underlying the Morningstar Medalist Rating can mean that the rating is subsequently no longer accurate. ©2023 Morningstar, Inc. All rights reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

² Expenses are the total annual fund operating expenses as shown in the most recent prospectus.

Fund Objectives: ROUS seeks to provide investment results that, before fees and expenses, correspond to the total return performance of an index that tracks the performance of exchange traded US equity securities. RODM seeks to provide investment results that, before fees and expenses, correspond to the total return performance of an index that tracks the performance of companies located in major developed markets of Europe, Canada and the Pacific Region. ROSC seeks to provide investment results that, before fees and expenses, correspond to the total return performance of an index that tracks the performance of small capitalization exchange traded equity securities. ROAM seeks to provide investment results that, before fees and expenses, correspond to the total return performance of an index based upon the emerging markets of the world.

Important Risks: Investing involves risk, including the possible loss of principal. • Foreign investments may be more volatile and less liquid than U.S. investments and are subject to the risk of currency fluctuations and adverse political, economic and regulatory developments. These risks may be greater, and include additional risks, for investments in emerging markets or if a Fund focuses in a particular geographic region or country. • Small cap securities can have greater risk and volatility than large-cap securities. • The Funds are not actively managed but rather attempt to track the performance of an index. The Funds' returns may diverge from that of the index. Ordinary brokerage commissions apply.

Investors should carefully consider a fund's investment objectives, risks, charges and expenses. This and other important information is contained in a fund's full prospectus and summary prospectus, which can be obtained by visiting hartfordfunds.com. Please read it carefully before investing.

ETFs are distributed by ALPS Distributors, Inc. (ALPS). Advisory services may be provided by Hartford Funds Management Company, LLC (HFMC), or its wholly owned subsidiary, Lattice Strategies LLC (Lattice). HFMC and Lattice are SEC registered investment advisers. Hartford Funds refers to Hartford Funds Distributors, LLC, Member FINRA, HFMC, and Lattice, which are not affiliated with ALPS. HFA002014 3023156 ETF7357_0723