

JUNE 30, 2021

# Hartford Municipal Opportunities ETF

**HARTFORDFUNDS**

Our benchmark is the investor.®

**HMOP**

Seeks to provide current income that is generally exempt from federal income taxes, and long-term total return.

**Inception Date** 12/13/2017

**CUSIP** 41653L503

**Morningstar® Category** Muni National Interm

**Lipper Peer Group** Intermediate Municipal Debt

**Net Assets** \$196 million

**Shares Outstanding** 4,650,000

**IOPV Ticker** HMOP

**Stock Exchange** NYSE Arca

**Morningstar Overall Rating™**



254 Products | Muni National Interm Category  
Based on Risk-Adjusted Returns as of  
6/30/21\*

## Performance (%)

	QTD	YTD	Average Annual Total Returns				SI
			1 Year	3 Year	5 Year	10 Year	
HMOP NAV	1.75	1.71	5.54	5.52	—	—	4.73
HMOP Price Return	1.67	1.63	5.70	5.54	—	—	4.75
Bloomberg Barclays Municipal 1-15 Year Blend (1-17) Index	0.90	0.57	3.08	4.45	—	—	—
Morningstar Category	1.53	1.33	4.61	4.51	—	—	—
Lipper Peer Group	1.44	1.27	4.55	4.35	—	—	—

SI = Since Inception

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data quoted. For more current performance information to the most recent month ended, please visit [hartfordfunds.com](http://hartfordfunds.com).

Investors should carefully consider a fund's investment objectives, risks, charges and expenses. This and other important information is contained in a fund's full prospectus and summary prospectus, which can be obtained by visiting [hartfordfunds.com](http://hartfordfunds.com). Please read it carefully before investing.

ETF shares are bought and sold at market price, not net asset value (NAV). Total returns are calculated using the daily 4:00 p.m. Eastern Time NAV. Market price returns reflect the midpoint of the bid/ask spread as of the close of trading on the exchange where Fund shares are listed. Market price returns do not represent the returns an investor would receive if they traded shares at other times. Brokerage commissions apply and will reduce returns.

**Bloomberg Barclays Municipal Bond 1-15 Year Blend (1-17) Index** is a sub-index of the Bloomberg Barclays Municipal Bond Index. It is a rules-based market value-weighted index of bonds with maturities of 1 year to 17 years engineered for the tax-exempt bond market. Indices are unmanaged and not available for direct investment.

<sup>1</sup> Expenses are the total annual fund operating expenses as shown in the most recent prospectus.

\*Star Ratings: 3-year 4 stars out of 254 products for the period ended herein. Other share classes may have different ratings. The Morningstar Rating™ for funds, or "star rating", is calculated for funds and separate accounts with at least a 3-year history. Exchange-traded funds and open-ended mutual funds are considered a single population for comparative purposes. Star rating based on a Morningstar Risk-Adjusted Return measure that accounts for variation in a managed product's monthly excess performance (without adjusting for any sales load, if applicable), placing more emphasis on downward variations and rewarding consistent performance. 5 stars are assigned to the top 10%, 4 stars to the next 22.5%, 3 stars to the next 35%, 2 stars to the next 22.5%, and 1 star to the bottom 10%. Overall Morningstar Rating is derived from a weighted average of the performance figures associated with its 3-, 5-, and 10-year (if applicable) Morningstar Rating metrics. For more information about the Morningstar Fund Ratings, including their methodology, please go to [global.morningstar.com/managerdisclosures](http://global.morningstar.com/managerdisclosures). ©2021 Morningstar, Inc. All rights reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

## Portfolio Managers from Wellington Management

**Timothy D. Haney, CFA**

Senior Managing Director  
Fixed-Income Portfolio Manager  
Professional Experience Since 1988

**Brad W. Libby**

Managing Director  
Fixed-Income Portfolio Manager &  
Credit Analyst  
Professional Experience Since 1996

The portfolio managers are supported by the full resources of Wellington.

**Total Operating Expenses<sup>1</sup>:** 0.29%

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# Hartford Municipal Opportunities ETF

## Municipal Sector Exposure (%)

Revenue Bonds	78
General Obligation Bonds	14
Cash, Cash Offsets & Cash Equivalents	6
Pre-Refunded Debt	2
Other Non-Municipal Bonds	0

## Revenue Sector Exposure (%)

Special Tax	13
Health Care	12
Multiple Utilities	10
Housing	8
Port, Airport and Marina	7
Transportation	6
Education	4
Industrial Development	4
Sales Tax	4
Student Loans	3
Lease	2
Port Authority	2
Tobacco	2
Other Tax Exempt Revenue Bonds	0
Resource Recovery	0
Sewer and Water	0
Sports/Convention	0

Net Assets	\$196 million
# of Holdings	320
# of Issuers	157
Dividend Frequency	Monthly

## Holdings Characteristics

Effective Duration	4.68 yrs.
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## Yields

30-Day SEC Yield	0.86%
Unsubsidized 30-Day SEC Yield	0.86%

## Credit Exposure<sup>†</sup> (%)

Aaa/AAA	7
Aa/AA	32
A	25
Baa/BBB	19
Ba/BB	4
B	0
Caa/CCC or lower	0
Not Rated	6
Cash & Cash Offsets	6

<sup>†</sup>Credit exposure is the credit ratings for the underlying securities of the Fund as provided by Standard and Poor's (S&P), Moody's Investors Service, or Fitch and typically range from AAA/Aaa (highest) to C/D (lowest). If S&P, Moody's, and Fitch assign different ratings, the median rating is used. If only two agencies assign ratings, the lower rating is used. Securities that are not rated by any of the three agencies are listed as "Not Rated." Ratings do not apply to the Fund itself or to Fund shares. Ratings may change.

**Benchmark** Bloomberg Barclays Municipal 1-15 Year Blend (1-17) Index

## Top Ten Issuers (%)

as of 3/31/21

City of West Palm Beach, FL, Utility System Rev	3.60
Los Angeles Department of Water & Power	3.13
New York State Urban Dev Corp. Rev	2.81
State of Illinois, GO	2.46
Public Finance Auth, WI	2.42
Metropolitan Transportation Auth, NY, Rev	2.37
Massachusetts Dev Finance Agency, Rev	1.85
City of New York, NY, GO	1.80
Triborough, NY, Bridge & Tunnel Auth	1.75
City of New York, NY	1.72
<b>Percentage Of Portfolio</b>	<b>23.91</b>

## Top Ten Issuers (%)

as of 6/30/21

City of West Palm Beach, FL, Utility System Rev	2.94
State of Illinois, GO	2.68
Los Angeles Department of Water & Power	2.56
San Diego County Regional Transportation Commission	2.56
New York State Urban Dev Corp. Rev	2.34
Public Finance Auth, WI	2.03
Metropolitan Transportation Auth, NY, Rev	1.97
City of New York, NY, GO	1.47
North Carolina Medical Care Commission Retirement Finance Auth Rev, First Mortgage Galloway Ridge	1.46
Chicago, IL, Board of Education, GO	1.45
<b>Percentage Of Portfolio</b>	<b>21.46</b>

Holdings and characteristics are subject to change. Percentages may be rounded.

**Important Risks:** Investing involves risk, including the possible loss of principal. The net asset value (NAV) of the Fund's shares may fluctuate due to changes in the market value of the Fund's holdings. The Fund's share price may fluctuate due to changes in the relative supply of and demand for the shares on an exchange. The Fund is actively managed and does not seek to replicate the performance of a specified index. • Municipal securities may be adversely impacted by state/local, political, economic, or market conditions. Investors may be subject to the federal Alternative Minimum Tax as well as state and local income taxes. Capital gains, if any, are taxable. • Fixed income security risks include credit, liquidity, call, duration, and interest-rate risk. As interest rates rise, bond prices generally fall. • High-yield ("junk") bonds involve greater risk of price volatility, illiquidity, and default than higher-rated debt securities. • In certain instances, unlike other ETFs, the Fund may effect creations and redemptions partly or wholly for cash, rather than in-kind, which may make the Fund less tax-efficient and incur more fees than an ETF that primarily or wholly effects creations and redemptions in-kind.

**Effective Duration** measures the sensitivity of an investment's price to nominal interest rate movement. **30-Day SEC Yield** reflects the hypothetical net current income earned, after the deduction of a fund's expenses, during a 30-day period, expressed as an annual percentage rate based on the fund's share price at the end of the period. Actual income distributions will usually differ. **Unsubsidized 30-Day SEC Yield** is the Fund's 30-Day SEC yield without the impact of fee/expense waivers. **IOPV, or Indicative Optimized Portfolio Value**, is a calculation disseminated by the stock exchange that approximates the Fund's NAV every fifteen seconds throughout the trading day.

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