

JUNE 30, 2021

# Hartford Schrodgers Tax-Aware Bond ETF

**HARTFORDFUNDS**

Our benchmark is the investor.®

**HTAB**

Seeks total return on an after-tax basis.

**Morningstar® Category** Intermediate Core Bond  
**Lipper Peer Group** General & Insured Municipal Debt

**Inception Date** 04/18/2018  
**Net Assets** \$103 million  
**Shares Outstanding** 4,750,000

**CUSIP** 41653L404  
**IOPV Ticker** HTAB  
**Stock Exchange** NYSE Arca

## Performance (%)

	QTD	YTD	Average Annual Total Returns				SI
			1 Year	3 Year	5 Year	10 Year	
HTAB NAV	0.89	0.37	2.47	5.00	—	—	4.76
HTAB Price Return	1.17	0.60	2.08	5.06	—	—	4.82
Bloomberg Barclays Municipal Bond Index	1.42	1.06	4.17	5.10	—	—	—
Morningstar Category	1.77	-1.22	0.77	5.26	—	—	—
Lipper Peer Group	2.03	1.95	5.97	5.02	—	—	—

SI = Since Inception

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data quoted. For more current performance information to the most recent month ended, please visit [hartfordfunds.com](http://hartfordfunds.com).

Investors should carefully consider a fund's investment objectives, risks, charges and expenses. This and other important information is contained in a fund's full prospectus and summary prospectus, which can be obtained by visiting [hartfordfunds.com](http://hartfordfunds.com). Please read it carefully before investing.

ETF shares are bought and sold at market price, not net asset value (NAV). Total returns are calculated using the daily 4:00 p.m. Eastern Time NAV. Market price returns reflect the midpoint of the bid/ask spread as of the close of trading on the exchange where Fund shares are listed. Market price returns do not represent the returns an investor would receive if they traded shares at other times. Brokerage commissions apply and will reduce returns.

**Bloomberg Barclays Municipal Bond Index** is designed to cover the USD-denominated long-term tax exempt bond market. Indices are unmanaged and not available for direct investment.

## Portfolio Managers from Schroders

**Lisa Hornby**  
 Portfolio Manager  
 Professional Experience Since 2007

**Neil G. Sutherland, CFA**  
 Portfolio Manager  
 Professional Experience Since 1997

**Julio C. Bonilla, CFA**  
 Portfolio Manager  
 Professional Experience Since 1998

**David May**  
 Portfolio Manager  
 Professional Experience Since 2010

The portfolio managers are supported by the full resources of Schroders.

**Total Operating Expenses<sup>1</sup>:** 0.40%

<sup>1</sup> Expenses are the total annual fund operating expenses as shown in the most recent prospectus.

NOT FDIC INSURED • MAY LOSE VALUE • NO BANK GUARANTEE

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## Hartford Schroders Tax-Aware Bond ETF

Portfolio Composition	
Tax Exempt Municipal	56
Corporate	29
US Treasuries	13
Taxable Municipal	1
Cash & Cash Equivalents	1
Cash	0
Agency Mortgage Backed Securities	0
Asset Backed Securities	0
Government Related Agencies	0
Mortgage Backed Securities	0
Other	0

Tax Exempt Municipal Sector Exposure	
Fed Agency	15
Corp Supported	11
Transportation	8
Housing	6
General Obligation	6
Utilities	4
Higher Education	1
Other Revenue	1
Leasing	1
Healthcare	1
Education	1
Pre-Refunded	0
Floating Rate Bonds	0
Insured	0
Moral Obligation	0
Not for Profit	0
Sales Tax Revenue	0
Special Assessment	0
Tax Increment Financing	0

Top Ten Issuers (%)	
as of 3/31/21	
U.S. Treasury Notes	18.19
Louisiana Local Gov't Environmental Facs. & Community Dev. Auth	2.48
Ohio Housing Finance Agency Rev	2.26
State of Maryland Department of Transportation	2.20
Curators of The University Of Missouri, MI, Rev	2.18
Iowa Finance Auth	2.15
JPMorgan Chase & Co.	2.03
Kentucky Public Energy Auth	1.75
Chicago, IL, O'Hare International Airport Rev	1.67
Main Street, GA, Natural Gas, Inc.	1.60
<b>Percentage Of Portfolio</b>	<b>36.51</b>

Top Ten Issuers (%)	
as of 6/30/21	
U.S. Treasury Notes	12.75
Louisiana State Local Govt Environmental Facs & Community Dev Auth Rev	2.71
JPMorgan Chase & Co.	2.52
Black Belt Energy Gas Dist, AL	2.50
Kentucky Public Energy Auth	2.45
Chicago, IL, O'Hare International Airport	2.35
Main Street, GA, Natural Gas, Inc.	2.21
Illinois Housing Dev Auth	2.18
Goldman Sachs Group, Inc.	1.82
Crown Castle International Corp.	1.77
<b>Percentage Of Portfolio</b>	<b>33.26</b>

Net Assets	\$103 million
# of Holdings	182
# of Issuers	126
Dividend Frequency	Monthly

Holdings Characteristics	
Effective Duration	3.77 yrs.

Yields	
30-Day SEC Yield	0.77%
Unsubsidized 30-Day SEC Yield	0.77%

Credit Exposure <sup>†</sup> (%)	
Aaa/AAA	29
Aa/AA	18
A	31
Baa/BBB	21
Ba/BB	0
B	0
Caa/CCC or lower	0
Not Rated	0
Cash & Cash Offsets	1

<sup>†</sup>Credit exposure is the credit ratings for the underlying securities of the Fund as provided by Standard and Poor's (S&P), Moody's Investors Service, or Fitch and typically range from AAA/Aaa (highest) to C/D (lowest). If S&P, Moody's, and Fitch assign different ratings, the median rating is used. If only two agencies assign ratings, the lower rating is used. Securities that are not rated by any of the three agencies are listed as "Not Rated." Ratings do not apply to the Fund itself or to Fund shares. Ratings may change.

**Benchmark** Bloomberg Barclays Municipal Bond Index

Holdings and characteristics are subject to change. Percentages may be rounded.

**Important Risks:** Investing involves risk, including the possible loss of principal. The net asset value (NAV) of the Fund's shares may fluctuate due to changes in the market value of the Fund's holdings. The Fund's share price may fluctuate due to changes in the relative supply of and demand for the shares on an exchange. The Fund is actively managed and does not seek to replicate the performance of a specified index. • Fixed income security risks include credit, liquidity, call, duration, and interest-rate risk. As interest rates rise, bond prices generally fall. • Municipal securities may be adversely impacted by state/local, political, economic, or market conditions; these risks may be magnified if the Fund focuses its assets in municipal securities of issuers in a few select states. Investors may be subject to the federal Alternative Minimum Tax as well as state and local income taxes. Capital gains, if any, are taxable. • The risks associated with mortgage-related and asset-backed securities include credit, interest-rate, prepayment, and extension risk. • Obligations of U.S. Government agencies are supported by varying degrees of credit but are generally not backed by the full faith and credit of the U.S. Government. • The purchase of securities in the To-Be-Announced (TBA) market can result in additional price and counterparty risk. • Derivatives are generally more volatile and sensitive to changes in market or economic conditions than other securities; their risks include currency, leverage, liquidity, index, pricing, and counterparty risk. • Foreign investments may be more volatile and less liquid than U.S. investments and are subject to the risk of currency fluctuations and adverse political and economic developments. • The Fund may have high portfolio turnover, which could increase its transaction costs and an investor's tax liability. • In certain instances, unlike other ETFs, the Fund may effect creations and redemptions partly or wholly for cash, rather than in-kind, which may make the Fund less tax-efficient and incur more fees than an ETF that primarily or wholly effects creations and redemptions in-kind.

**30-Day SEC Yield** reflects the hypothetical net current income earned, after the deduction of a fund's expenses, during a 30-day period, expressed as an annual percentage rate based on the fund's share price at the end of the period. Actual income distributions will usually differ. **Unsubsidized 30-Day SEC Yield** is the Fund's 30-Day SEC yield without the impact of fee/expense waivers. **Effective Duration** measures the sensitivity of an investment's price to nominal interest rate movement. **IOPV, or Indicative Optimized Portfolio Value**, is a calculation disseminated by the stock exchange that approximates the Fund's NAV every fifteen seconds throughout the trading day.

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