

DECEMBER 31, 2020

# Hartford Moderate Allocation Fund

**HARTFORDFUNDS**

Our benchmark is the investor.®

Seeks long-term capital appreciation and income.

**Tickers** A: HBAAX C: HBACX F: HBADX I: HBAIX R3: HBARX  
 R4: HBASX R5: HBATX

**Inception Date** 05/28/2004

**Morningstar® Category** Allocation--50% to 70% Equity

**Lipper Peer Group** Mixed-Asset Target Allocation Moderate

## Performance (%)

Class	QTD	YTD	Average Annual Total Returns					SI
			1 Year	3 Year	5 Year	10 Year		
R3	9.98	10.73	10.73	6.91	7.82	5.45	5.30	
R4	10.07	11.09	11.09	7.24	8.13	5.76	5.59	
R5	10.26	11.36	11.36	7.56	8.46	6.09	5.85	
Blended Index	9.48	14.24	14.24	9.33	9.99	8.25	—	
Morningstar Category	10.30	11.72	11.72	7.76	8.99	7.90	—	
Lipper Peer Group	8.88	10.22	10.22	6.89	8.05	6.94	—	

Share Class Inception: R3, R4, R5 - 12/22/06. Performance shown prior to the inception of a class reflects performance and operating expenses of another class(es). Had fees and expenses of a class been reflected for the periods prior to the inception of that class, performance would be different. Since inception (SI) performance is from 5/28/04. Performance and expenses for other share classes will vary. Additional information is in the prospectus.

The Blended Index consists of 40% Bloomberg Barclays U.S. Aggregate Bond Index, 40% Russell 3000 Index and 20% MSCI All Country World ex USA Index (net of dividend withholding tax). Indices are unmanaged and not available for direct investment.

## Calendar Year Returns (%) R4 Shares

2020	2019	2018	2017	2016	2015	2014	2013	2012	2011
11.09	18.06	-5.97	14.69	4.51	-2.73	0.50	9.58	12.04	-1.26

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data quoted. For more current performance information to the most recent month ended, please visit hartfordfunds.com.

Investors should carefully consider a fund's investment objectives, risks, charges and expenses. This and other important information is contained in a fund's full prospectus and summary prospectus, which can be obtained by visiting hartfordfunds.com. Please read it carefully before investing.

Effective 12/1/2015, the Fund's portfolio management team and Principal Investment Strategy were modified to provide the new portfolio managers with greater flexibility in allocating assets among the Underlying Funds.

## Portfolio Managers from Hartford Funds Management Company

### Vernon J. Meyer, CFA

Managing Director and  
Chief Investment Officer  
Professional Experience Since 1990

### Allison Mortensen, CFA

Head of Multi-Asset Solutions and  
Managing Director  
Professional Experience Since 1994

## Expenses (%)<sup>1</sup>

	R3	R4	R5
Gross	1.42	1.13	0.81
Net	1.42	1.13	0.81

<sup>1</sup> Expenses as shown in the Fund's most recent prospectus.

# Hartford Moderate Allocation Fund

Underlying Funds (%)	
Hartford Core Bond ETF	14
Hartford Core Equity Fund	14
Hartford World Bond Fund	11
Hartford Equity Income Fund	8
Hartford Multifactor Developed Markets (ex-US) ETF	6
Hartford Multifactor US Equity ETF	6
Hartford International Opportunities Fund	5
Hartford Short Duration ETF	5
Hartford Strategic Income Fund	5
Hartford Growth Opportunities Fund	4
Hartford Midcap Fund	4
Hartford Small Company Fund	4
Hartford Inflation Plus Fund	3
Hartford International Growth Fund	3
Hartford Schroders International Multi-Cap Value Fund	3
Hartford Small Cap Value Fund	3
Hartford Schroders Emerging Markets Equity Fund	2

Credit Exposure <sup>†</sup>	
Aaa/AAA	47
Aa/AA	4
A	14
Baa/BBB	17
Ba/BB	5
B	7
Caa/CCC or lower	0
Not Rated	2

<sup>†</sup>Credit exposure is the credit ratings for the underlying securities of the Fund as provided by Standard and Poor's (S&P), Moody's Investors Service, or Fitch and typically range from AAA/Aaa (highest) to C/D (lowest). If S&P, Moody's, and Fitch assign different ratings, the median rating is used. If only two agencies assign ratings, the lower rating is used. Securities that are not rated by any of the three agencies are listed as "Not Rated." Ratings do not apply to the Fund itself or to Fund shares. Ratings may change.

Equity Sector Exposure (%)	
Utilities	3
Health Care	15
Consumer Discretionary	13
Financials	13
Real Estate	3
Industrials	11
Consumer Staples	7
Energy	2
Information Technology	21
Communication Services	8
Materials	3

Based on Global Industry Classification Standard (GICS), which was developed by and is the exclusive property and a service mark of MSCI Inc. (MSCI) and Standard & Poor's, a division of The McGraw-Hill Companies, Inc. (S&P). Excludes cash. May not total to 100% due to rounding and/or pooled vehicle allocations.

Fixed-Income Allocation (%)	
United States Government	23
Investment Grade Credit	22
Mortgage Backed Securities	20
Developed Government and Related (Non-US \$)	11
Emerging Market Debt	7
Bank Loans	6
High Yield Credit	4
Asset Backed Securities	3
Commercial Mortgage Backed Securities	3
Other	1
Cash and Cash Equivalents	0
Tax Exempt Municipal	0

Regional Exposure (%)	
U.S.	69
Non-US Developed Markets	25
Emerging Markets	6

Net Assets	\$407 million
% in Equities	62%
% in Bonds	37%

Fixed-Income Characteristics	
Effective Duration	4.13 years

Equity Characteristic	
Price/Earnings	37.0x
Price/Book	4.0x
EPS Growth Rate	15.9%
Return on Equity	19.9%
Asset Weighted Market Cap (billions)	\$193.6

Market Cap Distribution (%)	
Less than \$2 billion	4
\$2 billion - \$5 billion	9
\$5 billion - \$10 billion	11
Greater than \$10 billion	76
Not Classified	0

Holdings and characteristics are subject to change. Percentages may be rounded.

**Important Risks:** Investing involves risk, including the possible loss of principal. Security prices fluctuate in value depending on general market and economic conditions and the prospects of individual companies. • The ability of the Fund to meet its investment objective is directly related to the ability of the underlying funds to meet their objectives as well as the investment manager's allocation among those underlying funds. The Fund is subject to the risks of the underlying funds, in direct proportion to the amount it invests in each underlying fund. The underlying funds are subject to the risks of the general equity market, small- and mid-cap securities, foreign securities, emerging-market securities, fixed-income securities (which carry credit, liquidity, duration, and interest-rate risk), high-yield ("junk") bonds, mortgage related- and asset-backed securities, and derivatives.

**Asset Weighted Market Cap** is the value of a corporation as determined by the number of outstanding shares of common stock multiplied by the price per share. **Effective Duration** measures the sensitivity of an asset or portfolio's price to nominal interest rate movement. **EPS Growth Rate** is the projected growth rate in earnings per share for the next five years. **Price/Book** is the ratio of a stock's price to its book value per share. **Price/Earnings** is the ratio of a stock's price to its earnings per share. **Return on Equity** is the average amount of net income returned as a percentage of shareholder's equity over the past five years.

Mutual funds are distributed by Hartford Funds Distributors, LLC (HFD), Member FINRA. Advisory services are provided by Hartford Funds Management Company, LLC (HFMC).

Index Provider Notices may be found at [hartfordfunds.com/index-notices](http://hartfordfunds.com/index-notices).