

JUNE 30, 2021

# Hartford Floating Rate High Income Fund

**HARTFORDFUNDS**

Our benchmark is the investor.<sup>®</sup>

Seeks to provide high current income and long-term total return.

**Tickers** A: HFHAX C: HFHCX F: HFHFY I: HFHIX R3: HFHRX  
R4: HFHSX R5: HFHTX Y: HFHYX

**Inception Date** 09/30/2011

**Morningstar<sup>®</sup> Category** Bank Loan

**Lipper Peer Group** Loan Participation

## Portfolio Managers from Wellington Management

### David B. Marshak

Managing Director  
Fixed-Income Portfolio Manager  
Professional Experience Since 1995

### Jeffrey W. Heuer, CFA

Managing Director  
Fixed-Income Portfolio Manager  
Professional Experience Since 1989

The portfolio managers are supported by the full resources of Wellington.

## Expenses (%)<sup>1</sup>

|       | R3   | R4   | R5   | Y    |
|-------|------|------|------|------|
| Gross | 1.52 | 1.22 | 0.92 | 0.91 |
| Net   | 1.37 | 1.07 | 0.77 | 0.80 |

## Performance (%)

| Class                         | QTD  | YTD  | Average Annual Total Returns |        |        |         | SI   |
|-------------------------------|------|------|------------------------------|--------|--------|---------|------|
|                               |      |      | 1 Year                       | 3 Year | 5 Year | 10 Year |      |
| R3                            | 1.22 | 3.13 | 10.68                        | 3.37   | 4.55   | —       | 4.44 |
| R4                            | 1.41 | 3.40 | 11.17                        | 3.71   | 4.89   | —       | 4.76 |
| R5                            | 1.49 | 3.56 | 11.53                        | 4.05   | 5.20   | —       | 5.18 |
| Y                             | 1.48 | 3.55 | 11.37                        | 4.00   | 5.19   | —       | 5.06 |
| S&P/LSTA Leveraged Loan Index | 1.47 | 3.28 | 11.65                        | 4.40   | 4.99   | —       | —    |
| Morningstar Category          | 1.35 | 2.87 | 10.15                        | 3.23   | 3.90   | —       | —    |
| Lipper Peer Group             | 1.40 | 2.99 | 10.52                        | 3.17   | 3.92   | —       | —    |

Share Class Inception: R3, R4, R5, Y - 9/30/11. Performance and expenses for other share classes will vary. Additional information is in the prospectus.

**S&P/LSTA Leveraged Loan Index** is a market-value-weighted index that is designed to measure the performance of the U.S. leverage loan market based upon market weightings, spreads and interest payments. Indices are unmanaged and not available for direct investment.

## Calendar Year Returns (%) Y Shares

| 2020 | 2019 | 2018  | 2017 | 2016  | 2015  | 2014  | 2013 | 2012  |
|------|------|-------|------|-------|-------|-------|------|-------|
| 1.34 | 9.19 | -0.24 | 5.42 | 13.69 | -3.42 | -0.19 | 7.01 | 11.10 |

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data quoted. For more current performance information to the most recent month ended, please visit [hartfordfunds.com](http://hartfordfunds.com).

Investors should carefully consider a fund's investment objectives, risks, charges and expenses. This and other important information is contained in a fund's full prospectus and summary prospectus, which can be obtained by visiting [hartfordfunds.com](http://hartfordfunds.com). Please read it carefully before investing.

<sup>1</sup> Expenses as shown in the Fund's most recent prospectus. Gross expenses do not reflect contractual expense reimbursement arrangements. Net expenses reflect such arrangements in instances when they reduce gross expenses. These arrangements remain in effect until 2/28/22 unless the Fund's Board of Directors approves an earlier termination.

**NOT FDIC INSURED • MAY LOSE VALUE • NO BANK GUARANTEE**

[hartfordfunds.com](http://hartfordfunds.com)

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## Sector Exposure (%)

|   | Fund    |         | Benchmark | Difference |
|---|---------|---------|-----------|------------|
|   | 3/31/21 | 6/30/21 | 6/30/21   | 6/30/21    |
| Consumer Cyclical                       | 27      | 30      | 20        | 10         |
| Consumer Non-Cyclical                   | 17      | 18      | 15        | 3          |
| Cash, Cash Equivalents and Cash Offsets | -2      | 2       | 0         | 2          |
| Capital Goods                           | 10      | 9       | 9         | 0          |
| Energy                                  | 4       | 2       | 2         | 0          |
| Financial Institutions                  | 10      | 7       | 7         | 0          |
| Other                                   | 8       | 7       | 7         | 0          |
| Communications                          | 13      | 12      | 13        | -1         |
| Transportation                          | 2       | 2       | 3         | -1         |
| Utilities                               | 0       | 0       | 2         | -2         |
| Basic Industry                          | 1       | 1       | 4         | -3         |
| Technology                              | 10      | 10      | 17        | -7         |

|                    |               |
|--------------------|---------------|
| Net Assets         | \$413 million |
| # of Holdings      | 265           |
| # of Issuers       | 185           |
| Dividend Frequency | Monthly       |

## Holdings Characteristics

|                         |           |
|-------------------------|-----------|
| Effective Duration      | 0.33 yrs. |
| Average Bank Loan Price | \$98.36   |
| % in Bank Loans         | 87 %      |

## Yields (Class Y)

|                               |       |
|-------------------------------|-------|
| 30-Day SEC Yield              | 2.96% |
| Unsubsidized 30-Day SEC Yield | 2.86% |

## Credit Exposure<sup>†</sup> (%)

|                     |    |
|---------------------|----|
| Aaa/AAA             | 0  |
| Aa/AA               | 0  |
| A                   | 0  |
| Baa/BBB             | 1  |
| Ba/BB               | 14 |
| B                   | 67 |
| Caa/CCC or lower    | 8  |
| Not Rated           | 7  |
| Cash & Cash Offsets | 2  |

<sup>†</sup>Credit exposure is the credit ratings for the underlying securities of the Fund as provided by Standard and Poor's (S&P), Moody's Investors Service, or Fitch and typically range from AAA/Aaa (highest) to C/D (lowest). If S&P, Moody's, and Fitch assign different ratings, the median rating is used. If only two agencies assign ratings, the lower rating is used. Securities that are not rated by any of the three agencies are listed as "Not Rated." Ratings do not apply to the Fund itself or to Fund shares. Ratings may change.

**Benchmark** S&P/LSTA Leveraged Loan Index

## Broad Sector Exposure (%)

|  |    |
|--|----|
| Bank Loans                                   | 87 |
| High Yield Credit                            | 8  |
| Other  | 3  |
| Cash, Cash Equivalents and Cash Offsets      | 2  |
| Asset Backed Securities                      | 0  |
| Commercial Mortgage Backed Securities        | 0  |
| Developed Government and Related (Non-US \$) | 0  |
| Emerging Market Debt                         | 0  |
| United States Government                     | 0  |
| Mortgage Backed Securities                   | 0  |
| Investment Grade Credit                      | 0  |

## Top Ten Issuers (%)

|  |              |
|--|--------------|
| Staples, Inc.                                | 2.44         |
| APX Group, Inc.                              | 2.35         |
| Crown Finance U.S., Inc.                     | 2.02         |
| Asurion LLC                                  | 1.92         |
| Brand Energy & Infrastructure Services, Inc. | 1.92         |
| DCert Buyer, Inc.                            | 1.82         |
| Polaris Newco LLC                            | 1.73         |
| Invesco Senior Loan ETF                      | 1.56         |
| MPH Acquisition Holdings LLC                 | 1.48         |
| Carnival Corp.                               | 1.43         |
| <b>Percentage of Portfolio</b>               | <b>18.67</b> |

Holdings are subject to change. Percentages may be rounded.

**Important Risks:** Investing involves risk, including the possible loss of principal. Security prices fluctuate in value depending on general market and economic conditions and the prospects of individual companies. • Loans can be difficult to value and less liquid than other types of debt instruments; they are also subject to nonpayment, collateral, bankruptcy, default, extension, prepayment and insolvency risks. • Fixed income security risks include credit, liquidity, call, duration, event and interest-rate risk. As interest rates rise, bond prices generally fall. • Investments in high-yield ("junk") bonds involve greater risk of price volatility, illiquidity, and default than higher-rated debt securities. • Derivatives are generally more volatile and sensitive to changes in market or economic conditions than other securities; their risks include currency, leverage, liquidity, index, pricing, and counterparty risk. • Foreign investments may be more volatile and less liquid than U.S. investments and are subject to the risk of currency fluctuations and adverse political, economic and regulatory developments. • Restricted securities may be more difficult to sell and price than other securities. • The Fund may have high portfolio turnover, which could increase its transaction costs and an investor's tax liability. • The Fund's investments may fluctuate in value over a short period of time. *The Fund should not be considered an alternative to CDs or money market funds. This Fund is intended for investors who are looking to complement their traditional fixed-income investments.*

**Effective Duration** measures the sensitivity of an asset or portfolio's price to nominal interest rate movement. **30-Day SEC Yield** reflects the hypothetical net current income earned, after the deduction of a fund's expenses, during a 30-day period, expressed as an annual percentage rate based on the fund's share price at the end of the period. Actual income distributions will usually differ. **Unsubsidized 30-Day SEC Yield** is the Fund's 30-Day SEC yield without the impact of fee/expense waivers.

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