

## IMPORTANT YEAR-END TAX INFORMATION

The following information about your 1099-DIV from Hartford Mutual Funds & ETFs should be used when preparing your 2020 tax return. You should consult your tax professional to determine the appropriate use of these tables.

- If any income tax is withheld from your distribution it will be reported in box 4 for federal withholding or box 14 for your state withholding.
- Short-term capital gains are reported in Box 1a, and are subject to ordinary income tax rates.
- Long-term capital gains are reported in Box 2a, and qualify for long-term capital gains tax rates.
- Return of capital distributions are reported in Box 3 (Non-dividend distributions) and are not taxable. Cost basis must be adjusted as a result of a return of capital reported in Box 3. Additional information is available on our website at [www.hartfordfunds.com](http://www.hartfordfunds.com)

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The information provided in these materials has been provided for your reference and is not intended to be all inclusive.

**For specific tax questions, please consult your tax professional. Hartford Funds does not offer tax advice. Shareholders should consult a tax professional for additional information.** This information is provided for informational purposes only. If you have other questions about your tax information, please call us at (888) 843-7824. Office hours are 9 a.m. to 6 p.m. Eastern Time. You may also visit our Tax Center at [www.hartfordfunds.com](http://www.hartfordfunds.com).

## Qualified Dividend Income (Mutual Funds)

Shareholders in the following Funds may be eligible for a reduced tax rate on certain qualified dividends. The portion of the dividend income that qualifies for a reduced tax rate is reported separately in Box 1b, and is also included in the total ordinary dividend amount in Box 1a. Dividends that are not qualified dividends are taxed at the appropriate tax rate for ordinary income.

Amounts designated as qualified dividend income under the Internal Revenue Code are:

Fund Name	Qualified Dividend %
Hartford AARP Balanced Retirement Fund	62.85%
The Hartford Balanced Income Fund	54.78%
The Hartford Capital Appreciation Fund	100.00%
The Hartford Checks and Balances Fund	44.42%
Hartford Schroders China A Fund	11.38%
Hartford Climate Opportunities Fund	71.40%
The Hartford Conservative Allocation Fund	30.43%
Hartford Core Equity Fund	100.00%
The Hartford Dividend & Growth Fund	100.00%
Hartford Emerging Markets Equity Fund	100.00%
Hartford Schroders Emerging Markets Equity Fund	92.16%
The Hartford Equity Income Fund	100.00%
Hartford Global Impact Fund	100.00%
The Hartford Global Real Asset Fund	59.84%
The Hartford Growth Allocation Fund	70.95%
The Hartford Growth Opportunities Fund	4.92%
The Hartford Healthcare Fund	51.33%
Hartford International Equity Fund	100.00%
The Hartford International Growth Fund	100.00%
Hartford Schroders International Multi-Cap Value Fund	89.61%
The Hartford International Opportunities Fund	100.00%
Hartford Schroders International Stock Fund	100.00%
The Hartford International Value Fund	100.00%
The Hartford MidCap Value Fund	100.00%
The Hartford Moderate Allocation Fund	52.04%
Hartford Multi-Asset Income and Growth Fund	54.73%
Hartford Multifactor International Fund	94.80%
Hartford Multifactor Large Cap Value Fund	100.00%
Hartford Quality Value Fund	100.00%
The Hartford Small Cap Growth Fund	36.00%
Hartford Small Cap Value Fund	100.00%
The Hartford Small Company Fund	7.84%
Hartford Schroders US MidCap Opportunities Fund	100.00%
Hartford Schroders US Small Cap Opportunities Fund	100.00%

## Dividends Received Deduction (Mutual Funds & ETF) – (for corporate shareholders only)

The following mutual funds & ETFs have dividends that qualify for the Dividends Received Deduction, which is only available to corporate shareholders. To determine the amount of the deduction, multiply the percentages below by the amount of total ordinary dividends (income dividends plus short-term capital gains) received during 2020. Information provided below for only those mutual funds and ETFs to which this applies.

<b>Fund/ETF Name</b>	<b>DRD %</b>
Hartford AARP Balanced Retirement Fund	21.92%
The Hartford Balanced Income Fund	46.19%
The Hartford Capital Appreciation Fund	100.00%
The Hartford Checks and Balances Fund	44.42%
Hartford Climate Opportunities Fund	19.20%
The Hartford Conservative Allocation Fund	20.00%
Hartford Core Equity Fund	100.00%
The Hartford Dividend & Growth Fund	100.00%
The Hartford Equity Income Fund	100.00%
Hartford Global Impact Fund	38.03%
The Hartford Global Real Asset Fund	13.31%
The Hartford Growth Allocation Fund	44.54%
The Hartford Growth Opportunities Fund	4.04%
The Hartford Healthcare Fund	38.86%
The Hartford MidCap Value Fund	100.00%
The Hartford Moderate Allocation Fund	31.40%
Hartford Multi-Asset Income and Growth Fund	11.61%
Hartford Multifactor Large Cap Value Fund	100.00%
Hartford Multifactor Low Volatility US Equity ETF	86.18%
Hartford Multifactor Small Cap ETF	100.00%
Hartford Multifactor US Equity ETF	91.14%
Hartford Quality Value Fund	100.00%
The Hartford Small Cap Growth Fund	34.75%
Hartford Small Cap Value Fund	100.00%
The Hartford Small Company Fund	6.95%
Hartford Schroders US MidCap Opportunities Fund	100.00%
Hartford Schroders US Small Cap Opportunities Fund	100.00%

## Foreign Tax (Mutual Funds)

The Funds elected to give shareholders the benefit of the applicable foreign tax credits. The ordinary dividend amount in Box 1a of Form 1099-DIV includes your proportionate share of foreign taxes paid by the fund in addition to your income dividend. Your proportionate share of foreign taxes paid by the fund also appears in Box 6. You may treat these taxes as if paid by you and you are potentially eligible for a tax credit or deduction on your tax return.

Tax reporting rules vary depending on the size of the foreign tax credit or deduction claimed. In some cases, it is necessary to identify the foreign taxes paid by country. This detail can be obtained by calling us at (888) 843-7824. **However, we strongly encourage you to consult with your tax professional.**

**Foreign Source Income and Foreign taxes paid are listed below for 2020.**

Fund Name	Foreign Source Income Percentage	Foreign Taxes Paid Per Share Amounts
Hartford Schroders China A Fund (ordinary income)	5.70%	\$ 0.00077
Hartford Schroders China A Fund (short-term capital gain)	5.70%	\$ 0.01641
The Hartford Conservative Allocation Fund	7.16%	\$ 0.00364
Hartford Emerging Markets Equity Fund	97.96%	\$ 0.02509
Hartford Schroders Emerging Markets Equity Fund	99.18%	\$ 0.04513
The Hartford Global Real Asset Fund	64.95%	\$ 0.01678
The Hartford Growth Allocation Fund	19.33%	\$ 0.01080
Hartford International Equity Fund	92.66%	\$ 0.02761
The Hartford International Growth Fund	98.84%	\$ 0.02451
Hartford Schroders International Multi-Cap Value Fund (March)	91.79%	\$ 0.00397
Hartford Schroders International Multi-Cap Value Fund (June)	91.79%	\$ 0.00547
Hartford Schroders International Multi-Cap Value Fund (September)	91.79%	\$ 0.00606
Hartford Schroders International Multi-Cap Value Fund (December)	91.79%	\$ 0.01136
The Hartford International Opportunities Fund	96.35%	\$ 0.02562
Hartford Schroders International Stock Fund	97.95%	\$ 0.01127
The Hartford International Value Fund	94.85%	\$ 0.05036
The Hartford Moderate Allocation Fund	15.24%	\$ 0.00815
Hartford Multifactor International Fund	99.39%	\$ 0.03416

# HARTFORDFUNDS

Our benchmark is the investor.<sup>SM</sup>

## State Income Information (Mutual Funds)

The schedule below summarizes the percentage of exempt income received by certain Funds on a state-by-state basis. Under certain state tax laws, interest income from certain municipal securities and U.S. Territories may be exempt from tax for the residents of that state.

	Hartford Municipal Income Fund	The Hartford Municipal Opportunities Fund	Hartford Municipal Short Duration Fund	Hartford Schroders Tax-Aware Bond Fund
ALABAMA	1.87%	2.27%	2.55%	0.00%
ALASKA	0.00%	0.34%	0.00%	0.00%
ARIZONA	1.91%	0.94%	2.35%	0.53%
ARKANSAS	0.00%	0.00%	0.00%	0.00%
CALIFORNIA	4.74%	5.71%	1.79%	5.55%
COLORADO	3.19%	2.84%	1.80%	0.60%
CONNECTICUT	2.91%	2.73%	0.95%	2.07%
DELAWARE	0.00%	0.00%	0.00%	0.14%
DISTRICT OF COLUMBIA	1.04%	0.17%	0.20%	3.95%
FLORIDA	5.25%	6.14%	6.91%	3.61%
GEORGIA	2.32%	2.76%	2.75%	4.77%
GUAM	0.00%	0.00%	0.00%	0.00%
HAWAII	0.51%	0.26%	0.00%	0.00%
IDAHO	0.01%	0.00%	0.00%	0.00%
ILLINOIS	17.52%	17.40%	23.24%	8.28%
INDIANA	0.54%	1.23%	0.00%	0.61%
IOWA	0.08%	0.16%	0.22%	0.58%
KANSAS	0.00%	0.22%	0.00%	0.00%
KENTUCKY	0.77%	1.48%	1.36%	7.42%
LOUISIANA	2.60%	1.95%	1.65%	0.44%
MAINE	0.16%	0.23%	0.28%	1.23%
MARYLAND	0.90%	0.40%	0.23%	1.50%
MASSACHUSETTS	3.03%	1.64%	3.83%	3.29%
MICHIGAN	3.81%	3.17%	0.56%	0.70%
MINNESOTA	1.11%	0.58%	0.85%	0.65%
MISSISSIPPI	0.75%	0.72%	0.00%	0.20%
MISSOURI	2.22%	1.83%	1.92%	5.03%
MONTANA	0.61%	0.49%	0.00%	0.03%
NEBRASKA	0.51%	0.47%	1.87%	1.30%
NEVADA	2.12%	1.68%	2.07%	0.85%
NEW HAMPSHIRE	0.18%	0.20%	0.00%	0.00%
NEW JERSEY	1.33%	1.58%	4.03%	4.64%
NEW MEXICO	0.50%	0.12%	4.03%	0.96%
NEW YORK	8.42%	8.10%	4.30%	3.75%
NORTH CAROLINA	0.86%	1.04%	0.00%	0.51%
NORTH DAKOTA	0.00%	0.42%	0.41%	1.03%
OHIO	4.17%	4.62%	2.14%	3.68%
OKLAHOMA	0.53%	0.53%	0.61%	0.45%
OREGON	0.91%	2.81%	1.55%	0.76%
PENNSYLVANIA	4.02%	5.36%	4.67%	3.75%
PUERTO RICO	1.49%	1.95%	1.68%	0.00%
RHODE ISLAND	1.28%	0.91%	1.84%	4.72%
SOUTH CAROLINA	0.90%	1.74%	0.86%	4.73%
SOUTH DAKOTA	0.51%	0.82%	0.66%	0.10%
TENNESSEE	1.52%	0.96%	4.61%	1.05%
TEXAS	7.26%	5.53%	4.32%	9.98%
UTAH	0.92%	0.27%	1.01%	0.05%
VERMONT	0.00%	0.45%	0.00%	0.00%
VIRGINIA	0.33%	0.06%	0.13%	1.88%
WASHINGTON	1.79%	0.76%	2.48%	2.65%
WEST VIRGINIA	0.00%	0.25%	0.26%	0.16%
WISCONSIN	2.58%	3.53%	3.05%	1.01%
WYOMING	0.00%	0.18%	0.00%	0.81%

## Direct Federal Obligations, Government Agencies, Repurchase Agreements (Mutual Funds)

**Under certain state laws**, dividends paid by a regulated investment company that are derived from income from direct federal obligations, government agencies, and repurchase agreements may not be taxable to residents of that state. Please consult your tax professional to determine which, if any, of the obligations listed below may be exempt from your state income tax.

Funds with income from direct federal obligations, government agencies, or repurchase agreements are:

Fund Name	Direct Federal Obligations	Government Agencies FNMA	Government Agencies GNMA	Government Agencies FHLMC & Others	Repurchase Agreements
Hartford AARP Balanced Retirement Fund	3.84%	2.42%	1.01%	0.80%	0.00%
The Hartford Balanced Income Fund	0.32%	0.01%	0.00%	0.00%	0.00%
The Hartford Checks and Balances Fund	3.71%	3.49%	1.21%	2.46%	0.00%
The Hartford Conservative Allocation Fund	5.34%	2.51%	0.70%	1.51%	0.00%
The Hartford Emerging Markets Local Debt Fund	0.06%	0.00%	0.00%	0.00%	0.00%
Hartford Schroders Emerging Markets Multi-Sector Bond Fund	0.27%	0.00%	0.00%	0.00%	0.00%
The Hartford Global Real Asset Fund	3.55%	0.13%	0.00%	0.04%	0.00%
The Hartford Growth Allocation Fund	1.56%	0.71%	0.19%	0.40%	0.00%
The Hartford Inflation Plus Fund	61.60%	1.21%	0.00%	1.10%	0.00%
Hartford Moderate Allocation Fund	3.15%	1.41%	0.38%	0.84%	0.00%
Hartford Multi-Asset Income and Growth Fund	3.47%	1.85%	0.10%	1.69%	0.00%
The Hartford Quality Bond Fund	0.35%	13.59%	6.14%	8.16%	0.00%
Hartford Schroders Opportunistic Income Fund	0.39%	12.51%	0.01%	4.01%	0.00%
Hartford Schroders Securitized Income Fund	0.10%	8.35%	0.02%	12.56%	0.00%
The Hartford Short Duration Fund	0.42%	3.74%	1.06%	1.93%	0.00%
The Hartford Strategic Income Fund	1.90%	0.73%	0.00%	1.13%	0.00%
Hartford Schroders Tax-Aware Bond Fund	0.92%	1.05%	0.49%	0.66%	0.00%
The Hartford Total Return Bond Fund	8.88%	5.45%	3.62%	4.52%	0.00%
The Hartford World Bond Fund	10.50%	3.84%	0.42%	2.31%	0.00%

### **Residents of All States/Territories**

In using the above table, we strongly encourage you to consult with your tax professional for advice in helping you determine your state tax liability.

### **For California, Connecticut and New York Residents**

The Hartford Inflation Plus Fund held more than 50% in direct federal obligations. The remaining Hartford and Hartford Schroder Funds listed above held less than 50% of assets in direct federal obligations at the end of each quarter

## 2020 Taxable/Exempt Interest Income (Mutual Funds)

The Taxable Income percentages in the table below may be used to determine the percentage of dividends that are taxable. The table also reports the percentages of tax-exempt dividends that may be treated as a tax preference item for the alternative minimum tax (AMT). This information is provided on Form 1099-DIV.

Some states do not tax federally tax-exempt dividends. To find the percentage of dividends attributed to specific state or municipal security, see the State Income Information table.

<b>Fund Name</b>	<b>Taxable Income</b>	<b>Alternative Minimum Tax</b>
Hartford Municipal Income Fund	0.93%	12.77%
The Hartford Municipal Opportunities Fund	1.69%	10.76%
Hartford Municipal Short Duration Fund	1.21%	11.07%
Hartford Schroders Tax-Aware Bond Fund	35.59%	0.00%

## Qualified Dividend Income (ETFs)

Shareholders in the following ETFs may be eligible for a reduced tax rate on certain qualified dividends. The portion of the dividend income that qualifies for a reduced tax rate is reported separately in Box 1b, and is also included in the total ordinary dividend amount in Box 1a. Dividends that are not qualified dividends are taxed at the appropriate tax rate for ordinary income.

Amounts designated as qualified dividend income under the Internal Revenue Code are:

ETF	Qualified Dividend
Hartford Multifactor Developed Markets (ex-US) ETF	81.30%
Hartford Multifactor Diversified International ETF	74.28%
Hartford Multifactor Emerging Markets ETF	59.56%
Hartford Multifactor Low Volatility US Equity ETF	93.10%
Hartford Multifactor Small Cap ETF	100.00%
Hartford Multifactor US Equity ETF	100.00%

## Foreign Tax (ETFs)

The ETFs elected to give shareholders the benefit of the applicable foreign tax credits. The ordinary dividend amount in Box 1a of Form 1099-DIV includes your proportionate share of foreign taxes paid by the ETF in addition to your income dividend. Your proportionate share of foreign taxes paid by the ETF also appears in Box 6. You may treat these taxes as if paid by you and you are potentially eligible for a tax credit or deduction on your tax return.

Tax reporting rules vary depending on the size of the foreign tax credit or deduction claimed. In some cases, it is necessary to identify the foreign taxes paid by country. **We strongly encourage you to consult with your tax professional.**

**Foreign Source Income and Foreign taxes paid are listed below for 2020.**

ETF	Foreign Source Income Percentage	Foreign Taxes Paid Per Share Amounts
Hartford Multifactor Developed Markets (ex-US) ETF	88.45%	\$ 0.08247
Hartford Multifactor Diversified International ETF	96.09%	\$ 0.10588
Hartford Multifactor Emerging Markets ETF	99.58%	\$ 0.13128



## 2020 Taxable/Exempt Interest Income (ETFs)

The Taxable Income percentages in the table below may be used to determine the percentage of dividends that are taxable. The table also reports the percentages of tax-exempt dividends that may be treated as a tax preference item for the alternative minimum tax (AMT). This information is provided on Form 1099-DIV.

Some states do not tax federally tax-exempt dividends. To find the percentage of dividends attributed to specific state or municipal security, see the State Income Information table.

ETF	Taxable Income	Alternative Minimum Tax
Hartford Municipal Opportunities ETF	0.36%	13.65%
Hartford Schroders Tax-Aware Bond ETF	36.34%	0.00%

## Direct Federal Obligations, Government Agencies, Repurchase Agreements (ETFs)

**Under certain state laws**, dividends paid by a regulated investment company that are derived from income from direct federal obligations, government agencies, and repurchase agreements may not be taxable to residents of that state. Please consult your tax professional to determine which, if any, of the obligations listed below may be exempt from your state income tax.

ETFs with income from direct federal obligations, government agencies, or repurchase agreements are:

ETF	Direct Federal Obligations	Government Agencies FNMA	Government Agencies GNMA	Government Agencies FHLMC & Others	Repurchase Agreements
Hartford Multifactor Developed Markets (ex-US) ETF	0.004%	0.000%	0.000%	0.000%	0.000%
Hartford Multifactor Emerging Markets ETF	0.007%	0.000%	0.000%	0.000%	0.000%
Hartford Multifactor REIT ETF	0.007%	0.000%	0.000%	0.000%	0.000%
Hartford Multifactor Small Cap ETF	0.024%	0.000%	0.000%	0.000%	0.000%
Hartford Multifactor US Equity ETF	0.004%	0.000%	0.000%	0.000%	0.000%
Hartford Core Bond ETF	8.389%	0.964%	0.000%	0.374%	0.000%
Hartford Short Duration ETF	0.000%	5.216%	0.928%	1.995%	0.000%
Hartford Schroders Tax-Aware Bond ETF	5.658%	6.175%	0.747%	4.746%	0.000%
Hartford Total Return Bond ETF	5.811%	5.461%	1.897%	3.853%	0.000%

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Our benchmark is the investor.<sup>SM</sup>

## State Income Information (ETFs)

The schedule below summarizes the percentage of exempt income received by certain Funds on a state-by-state basis. Under certain state tax laws, interest income from certain municipal securities and U.S. Territories may be exempt from tax for the residents of that state.

	Hartford Municipal Opportunities ETF	Hartford Schroders Tax-Aware Bond ETF
ALABAMA	2.80%	0.33%
ALASKA	0.21%	0.00%
ARIZONA	1.24%	0.00%
ARKANSAS	0.00%	0.00%
CALIFORNIA	3.68%	2.58%
COLORADO	2.48%	2.06%
CONNECTICUT	2.82%	1.23%
DELAWARE	0.00%	0.16%
DISTRICT OF COLUMBIA	1.18%	5.86%
FLORIDA	5.72%	1.35%
GEORGIA	2.14%	4.73%
GUAM	0.00%	0.00%
HAWAII	0.11%	0.37%
IDAHO	0.00%	0.00%
ILLINOIS	15.31%	8.56%
INDIANA	0.26%	0.49%
IOWA	1.40%	0.36%
KANSAS	0.00%	0.00%
KENTUCKY	0.65%	5.96%
LOUISIANA	0.11%	0.25%
MAINE	0.20%	0.69%
MARYLAND	0.86%	1.25%
MASSACHUSETTS	3.43%	0.94%
MICHIGAN	4.11%	0.52%
MINNESOTA	1.23%	0.90%
MISSISSIPPI	1.03%	0.21%
MISSOURI	3.06%	4.86%
MONTANA	0.00%	2.41%
NEBRASKA	0.03%	0.92%
NEVADA	2.09%	0.46%
NEW HAMPSHIRE	0.26%	0.00%
NEW JERSEY	2.17%	3.17%
NEW MEXICO	0.28%	0.77%
NEW YORK	6.13%	4.17%
NORTH CAROLINA	1.03%	2.85%
NORTH DAKOTA	0.00%	1.92%
OHIO	3.90%	3.09%
OKLAHOMA	0.74%	0.41%
OREGON	1.24%	0.71%
PENNSYLVANIA	7.14%	2.40%
PUERTO RICO	1.41%	0.00%
RHODE ISLAND	0.18%	4.93%
SOUTH CAROLINA	1.81%	3.31%
SOUTH DAKOTA	1.06%	0.11%
TENNESSEE	1.72%	2.72%
TEXAS	4.43%	15.66%
UTAH	3.19%	1.24%
VERMONT	0.00%	0.00%
VIRGINIA	0.06%	1.74%
WASHINGTON	1.99%	2.90%
WEST VIRGINIA	0.30%	0.00%
WISCONSIN	4.82%	0.00%
WYOMING	0.00%	0.45%