

Report of Organizational Actions Affecting Basis of Securities

▶ See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)	
THE HARTFORD FLOATING RATE FUND		81-0666039	
3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact	
DENISE D. LAUBER	610-386-6740	DENISE.LAUBER@HARTFORDFUNDS.COM	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact		7 City, town, or post office, state, and ZIP code of contact	
690 LEE ROAD		WAYNE, PA 19087	
8 Date of action		9 Classification and description	
10/31/19		NON-DIVIDEND DISTRIBUTION PAID IN 2019	
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
SEE ATTACHMENT	N/A	SEE ATTACHMENT	N/A

Part II Organizational Action Attach additional statements if needed. See back of form for additional questions.

14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ▶ THE HARTFORD FLOATING RATE FUND PAID NON-TAXABLE RETURN OF CAPITAL DISTRIBUTIONS TO ITS SHAREHOLDERS PER THE ATTACHED SCHEDULE.

15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ▶ THE AMOUNT OF NON-TAXABLE RETURN OF CAPITAL, ON THE ATTACHED SCHEDULE, REPRESENTS AN ADJUSTMENT IN THE SHAREHOLDERS' TAX BASIS IN THEIR SHARES HELD.

16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ▶ THE TAX BASIS OF THE SHAREHOLDERS' SHARES IS DECREASED BY THE PER SHARE AMOUNT OF NON-TAXABLE TAXABLE RETURN OF CAPITAL, ON THE ATTACHED SCHEDULE, MULTIPLIED BY THE NUMBER OF SHARES THE SHAREHOLDER OWNS.

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ► PURSUANT TO IRC SEC. 301(C)(1), THE PORTION OF A DISTRIBUTION, WHICH IS A DIVIDEND (AS DEFINED IN IRC SEC. 316), IS INCLUDIBLE IN GROSS INCOME. PURSUANT TO IRC SEC. 301(C)(2), THE PORTION OF A DISTRIBUTION, WHICH IS NOT A DIVIDEND, SHALL BE APPLIED AGAINST AND REDUCE THE ADJUSTED TAX BASIS OF THE STOCK.

18 Can any resulting loss be recognized? ► NO LOSS WILL BE RECOGNIZED AS A RESULT OF ANY NON-TAXABLE RETURN OF CAPITAL. A LOSS MAY BE REALIZED UPON THE DISPOSITION OF SHARES.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ► PURSUANT TO IRC SEC. 6045, BROKERS ARE REQUIRED TO REFLECT THESE ADJUSTMENTS IN COST BASIS REPORTING FOR COVERED SECURITIES. IF A BROKER IS NOT REQUIRED TO PROVIDE COST BASIS TO A PARTICULAR SHAREHOLDER, THE COST BASIS OF THE SHAREHOLDER'S SHARES SHOULD BE ADJUSTED AS OF THE DISTRIBUTION DATE TO REFLECT THE RETURN OF CAPITAL DESCRIBED ABOVE. THE FINAL AMOUNT OF TAXABLE DIVIDENDS AND NON-TAXABLE RETURN OF CAPITAL (I.E. NON-DIVIDEND DISTRIBUTIONS) WILL BE REPORTED TO SHAREHOLDERS ON THEIR 2019 FORM 1099-DIV.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature ► *Denise Lauber* Date ► 1/15/2020

Print your name ► DENISE D. LAUBER Title ► ASSISTANT TREASURER

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ►			Firm's EIN ►	
	Firm's address ►			Phone no.	

Share Class	Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share
2019 Quarterly Distributions - Class F												
F	416641610	HFLFX	Daily	Daily	2/1/2019	0.036651205	5,647,336.834	206,981.70	10,561.39	0.001870162	0.034781053	0.036651205
F	416641610	HFLFX	Daily	Daily	3/1/2019	0.032583584	5,592,111.046	182,211.02	9,997.44	0.001662589	0.030920665	0.032583584
F	416641610	HFLFX	Daily	Daily	4/1/2019	0.035173748	11,685,213.359	411,012.75	20,572.20	0.001794764	0.033378964	0.035173748
F	416641610	HFLFX	Daily	Daily	5/1/2019	0.034652466	17,150,524.162	591,392.71	30,176.21	0.001759492	0.032722994	0.034652466
F	416641610	HFLFX	Daily	Daily	6/3/2019	0.035674180	16,793,770.733	599,104.00	30,569.68	0.001820259	0.033853861	0.035674180
F	416641610	HFLFX	Daily	Daily	7/1/2019	0.034050347	15,733,765.795	535,803.12	27,339.71	0.001797646	0.032316701	0.034050347
F	416641610	HFLFX	Daily	Daily	8/1/2019	0.033996380	15,072,147.555	512,428.60	26,147.01	0.001734790	0.032263590	0.033996380
F	416641610	HFLFX	Daily	Daily	9/3/2019	0.032744109	14,374,916.722	470,693.84	24,017.47	0.001670790	0.031073319	0.032744109
F	416641610	HFLFX	Daily	Daily	10/1/2019	0.030954669	13,642,885.952	421,503.36	21,507.49	0.001576462	0.029319007	0.030954669
F	416641610	HFLFX	Daily	Daily	11/1/2019	0.031162314	41,315,444.986	1,287,484.87	65,694.78	0.001590078	0.029572236	0.031162314
									266,283.39	0.017217072	0.020202750	0.037419822
									Class F ROC %			
									5.1026%			
2019 Daily Distributions - Class Y												
Y	416648525	HFLYX	Daily	Daily	2/1/2019	0.036211565	46,284,390.613	1,676,030.79	85,520.60	0.001847720	0.034363885	0.036211565
Y	416648525	HFLYX	Daily	Daily	3/1/2019	0.032266908	45,425,147.027	1,465,728.04	74,789.81	0.001664641	0.030204987	0.032266908
Y	416648525	HFLYX	Daily	Daily	4/1/2019	0.034766877	39,057,220.223	1,357,989.76	69,287.24	0.001773963	0.032992684	0.034766877
Y	416648525	HFLYX	Daily	Daily	5/1/2019	0.033978946	35,354,377.384	1,201,304.48	61,297.37	0.001733789	0.032245147	0.033978946
Y	416648525	HFLYX	Daily	Daily	6/3/2019	0.035094858	35,015,498.852	1,228,863.96	62,703.61	0.001790739	0.033304119	0.035094858
Y	416648525	HFLYX	Daily	Daily	7/1/2019	0.033377135	34,138,463.652	1,139,444.11	58,140.90	0.001703091	0.031674044	0.033377135
Y	416648525	HFLYX	Daily	Daily	8/1/2019	0.033188280	34,233,218.227	1,140,610.71	58,200.43	0.001700116	0.031618712	0.033188280
Y	416648525	HFLYX	Daily	Daily	9/3/2019	0.032063740	36,141,511.564	1,222,959.51	62,402.33	0.001638074	0.030427666	0.032063740
Y	416648525	HFLYX	Daily	Daily	10/1/2019	0.030919873	41,905,403.363	1,295,709.75	68,114.46	0.001577707	0.029342166	0.030919873
Y	416648525	HFLYX	Daily	Daily	11/1/2019	0.035911714	13,435,612.402	482,495.87	24,619.68	0.001824149	0.034079295	0.035911714
									623,076.42	0.017242099	0.020666165	0.037910264
									Class Y ROC %			
									5.1026%			
2019 Daily Distributions - Class R3												
R3	416649531	HFLRX	Daily	Daily	2/1/2019	0.02046021	938,892,369	30,651.10	1,563.69	0.001665785	0.030960236	0.02046021
R3	416649531	HFLRX	Daily	Daily	3/1/2019	0.028939456	927,980,325	26,843.67	1,369.72	0.001476655	0.027462801	0.028939456
R3	416649531	HFLRX	Daily	Daily	4/1/2019	0.031068966	925,929,736	28,764.48	1,467.73	0.001586310	0.029483556	0.031068966
R3	416649531	HFLRX	Daily	Daily	5/1/2019	0.030466677	927,429,992	28,255.71	1,441.77	0.001554563	0.028912084	0.030466677
R3	416649531	HFLRX	Daily	Daily	6/3/2019	0.031520940	928,630,618	29,271.31	1,493.69	0.001608377	0.029912563	0.031520940
R3	416649531	HFLRX	Daily	Daily	7/1/2019	0.030070995	946,154,592	28,451.81	1,451.77	0.001534393	0.028536602	0.030070995
R3	416649531	HFLRX	Daily	Daily	8/1/2019	0.029877116	926,309,956	27,675.47	1,412.16	0.001524500	0.028536602	0.029877116
R3	416649531	HFLRX	Daily	Daily	9/3/2019	0.028614542	876,551,510	25,082.12	1,279.83	0.001480076	0.027154466	0.028614542
R3	416649531	HFLRX	Daily	Daily	10/1/2019	0.026924515	870,409,736	23,435.36	1,195.80	0.001373841	0.025550674	0.026924515
R3	416649531	HFLRX	Daily	Daily	11/1/2019	0.027039468	834,404,299	22,561.84	1,151.23	0.001379707	0.025659751	0.027039468
									13,827.59	0.015163227	0.028200539	0.029716856
									Class R3 ROC %			
									5.1026%			

Share Class	Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share
2019 Daily Distributions - Class R4												
R4	416649234	HFLSX	Daily	Daily	2/1/2019	0.034182365	569,925,457	19,481.40	994.05	0.001744178	0.032438187	0.034182365
R4	416649234	HFLSX	Daily	Daily	3/1/2019	0.0303041376	457,208,994	13,872.35	707.85	0.001546189	0.028793197	0.0303041376
R4	416649234	HFLSX	Daily	Daily	4/1/2019	0.032638047	454,888,738	14,846.68	751.56	0.001665378	0.030972669	0.032638047
R4	416649234	HFLSX	Daily	Daily	5/1/2019	0.031987731	450,378,828	14,406.60	735.11	0.001632195	0.031987731	0.031987731
R4	416649234	HFLSX	Daily	Daily	6/2/2019	0.030386651	450,735,261	14,918.73	761.24	0.001688881	0.031409772	0.030386651
R4	416649234	HFLSX	Daily	Daily	7/1/2019	0.031581378	458,890,091	14,498.37	738.72	0.001611971	0.02979407	0.031581378
R4	416649234	HFLSX	Daily	Daily	8/1/2019	0.03148280	461,088,010	14,498.37	739.79	0.001604721	0.029844559	0.03148280
R4	416649234	HFLSX	Daily	Daily	9/2/2019	0.030190465	356,219,091	10,754.42	545.75	0.001540489	0.028649276	0.030190465
R4	416649234	HFLSX	Daily	Daily	10/1/2019	0.028483756	332,605,726	9,464.88	482.95	0.001452035	0.027004731	0.028483756
R4	416649234	HFLSX	Daily	Daily	11/1/2019	0.028625804	334,328,776	9,570.43	489.34	0.001463651	0.027165163	0.028625804
									6,955.35	0.015948678	0.296613177	0.312561855
									5.1026%			
2019 Daily Distributions - Class R5												
R5	41664L815	HFLT X	Daily	Daily	2/1/2019	0.035618801	150,236,669	5,351.25	273.05	0.001617473	0.033801328	0.035618801
R5	41664L815	HFLT X	Daily	Daily	3/1/2019	0.031829488	145,363,318	4,626.84	236.09	0.001624121	0.030205367	0.031829488
R5	41664L815	HFLT X	Daily	Daily	4/1/2019	0.034305962	145,792,132	5,000.17	255.14	0.001750486	0.032555496	0.034305962
R5	41664L815	HFLT X	Daily	Daily	5/1/2019	0.033573673	150,355,310	5,047.98	257.58	0.001713119	0.031860554	0.033573673
R5	41664L815	HFLT X	Daily	Daily	6/2/2019	0.034864752	152,444,509	5,314.94	271.20	0.001778897	0.033085755	0.034864752
R5	41664L815	HFLT X	Daily	Daily	7/1/2019	0.03234179	152,700,628	5,073.88	258.95	0.001695796	0.031538383	0.03234179
R5	41664L815	HFLT X	Daily	Daily	8/1/2019	0.03136647	153,113,521	5,072.75	258.84	0.001690514	0.031440133	0.03136647
R5	41664L815	HFLT X	Daily	Daily	9/2/2019	0.031760958	139,705,762	4,441.38	226.62	0.001522155	0.030168803	0.031760958
R5	41664L815	HFLT X	Daily	Daily	10/1/2019	0.028917735	141,464,566	4,232.30	215.96	0.001526573	0.028391162	0.028917735
R5	41664L815	HFLT X	Daily	Daily	11/1/2019	0.030183593	142,444,606	4,299.49	219.36	0.001540138	0.028643455	0.030183593
									2,472.81	0.016759372	0.311690436	0.328449808
									5.1026%			

The Hartford Floating Rate Fund
 Form 8877 Appendix A
 FISCAL YEAR ENDED: October 31, 2019
 EIN: 81-0666039

Share Class	Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share	
2019 Daily Distributions - Class I													
I	416648904	HFLX	Daily	Daily	2/1/2019	0.036128747	187,331,006,525	6,768,034.54	345,343.51	0.001843494	0.034285253	0.036128747	
I	416648904	HFLX	Daily	Daily	3/1/2019	0.032120959	188,438,110,222	6,052,820.35	308,849.22	0.001639596	0.030482003	0.032120959	
I	416648904	HFLX	Daily	Daily	4/1/2019	0.034651600	190,429,712,048	6,598,694.21	336,702.80	0.001789121	0.032883479	0.034651600	
I	416648904	HFLX	Daily	Daily	5/1/2019	0.033897850	183,626,573,661	6,242,927.07	318,549.55	0.001734768	0.032263162	0.033897850	
I	416648904	HFLX	Daily	Daily	6/3/2019	0.035180175	178,275,838,594	6,271,775.20	320,021.54	0.001795092	0.033385083	0.035180175	
I	416648904	HFLX	Daily	Daily	7/1/2019	0.033551896	170,575,897,045	5,724,048.81	292,073.44	0.001712278	0.031844916	0.033551896	
I	416648904	HFLX	Daily	Daily	8/1/2019	0.033468656	166,511,274,571	5,723,075.08	264,989.90	0.001707912	0.031761844	0.033468656	
I	416648904	HFLX	Daily	Daily	9/3/2019	0.032191590	161,428,021,960	5,136,555.89	255,162.91	0.001642588	0.030548992	0.032191590	
I	416648904	HFLX	Daily	Daily	10/1/2019	0.030523842	157,766,188,657	4,837,187.44	245,900.23	0.001557806	0.028972036	0.030523842	
I	416648904	HFLX	Daily	Daily	11/1/2019	0.030968004	158,697,558,340	4,914,641.84	250,772.90	0.001580194	0.029388410	0.030968004	
								58,159,861.43	2,967,646.00	0.016981159	0.315815200	0.332796359	
								Class I ROC %	5.1026%				
2019 Daily Distributions - Class C													
C	416648533	HFLCX	Daily	Daily	2/1/2019	0.029182447	80,814,348,462	2,358,360.47	120,336.93	0.001489054	0.027893383	0.029182447	
C	416648533	HFLCX	Daily	Daily	3/1/2019	0.025786668	79,291,117,410	2,044,653.72	104,329.83	0.001315782	0.024470866	0.025786668	
C	416648533	HFLCX	Daily	Daily	4/1/2019	0.027457369	76,547,133,364	2,109,437.60	107,635.47	0.001406133	0.026151236	0.027457369	
C	416648533	HFLCX	Daily	Daily	5/1/2019	0.027207434	73,541,417,383	2,000,873.26	102,985.90	0.001388278	0.025819156	0.027207434	
C	416648533	HFLCX	Daily	Daily	6/3/2019	0.028208110	71,466,755,962	2,015,799.18	102,857.51	0.001439236	0.026766874	0.028208110	
C	416648533	HFLCX	Daily	Daily	7/1/2019	0.028874039	69,048,022,517	1,855,598.25	94,883.20	0.001371268	0.02502773	0.028874039	
C	416648533	HFLCX	Daily	Daily	8/1/2019	0.026579846	66,857,633,431	1,777,071.42	90,676.31	0.001366260	0.025223668	0.026579846	
C	416648533	HFLCX	Daily	Daily	9/3/2019	0.02505270	64,719,897,080	1,637,757.00	83,587.65	0.001291218	0.024014052	0.02505270	
C	416648533	HFLCX	Daily	Daily	10/1/2019	0.023833589	60,469,698,877	1,441,209.95	73,538.71	0.001216125	0.022817464	0.023833589	
C	416648533	HFLCX	Daily	Daily	11/1/2019	0.024096559	55,289,176,949	1,332,519.88	67,992.72	0.001228643	0.022867016	0.024096559	
								18,573,282.73	947,714.23	0.013502895	0.251126538	0.264629433	
								Class C ROC %	5.1026%				
2019 Daily Distributions - Class A													
A	416648558	HFLAX	Daily	Daily	2/1/2019	0.034306023	94,332,935,679	3,240,395.11	165,343.34	0.001752764	0.032597659	0.034306023	
A	416648558	HFLAX	Daily	Daily	3/1/2019	0.030428827	93,002,573,354	2,829,773.21	144,391.08	0.001552549	0.028874278	0.030428827	
A	416648558	HFLAX	Daily	Daily	4/1/2019	0.032750626	92,812,481,889	3,039,867.21	155,101.06	0.001671123	0.031078503	0.032750626	
A	416648558	HFLAX	Daily	Daily	5/1/2019	0.032094639	93,101,364,063	2,988,054.67	152,467.50	0.001637651	0.030456988	0.032094639	
A	416648558	HFLAX	Daily	Daily	6/3/2019	0.033097565	92,395,983,862	3,058,119.04	156,042.59	0.001688461	0.031408119	0.033097565	
A	416648558	HFLAX	Daily	Daily	7/1/2019	0.031569901	90,588,143,055	2,859,227.31	145,893.98	0.001610875	0.028969026	0.031569901	
A	416648558	HFLAX	Daily	Daily	8/1/2019	0.031307555	89,475,823,918	2,812,282.70	143,699.12	0.001603775	0.028928860	0.031307555	
A	416648558	HFLAX	Daily	Daily	9/3/2019	0.030157691	87,590,455,788	2,641,525.98	134,785.64	0.001538816	0.028158875	0.030157691	
A	416648558	HFLAX	Daily	Daily	10/1/2019	0.028412420	86,859,109,502	2,524,702.34	128,824.63	0.001449763	0.026962657	0.028412420	
A	416648558	HFLAX	Daily	Daily	11/1/2019	0.028748370	91,377,637,758	2,626,958.14	134,042.30	0.001468605	0.027281465	0.028748370	
								28,620,715.72	1,460,381.25	0.015973067	0.29706675	0.313039817	
								Class A ROC %	5.1026%				
								Total Distributions	123,239,280.00	6,286,387.00			
								ROC per 10.31-19 Provision	123,239,279	6,286,367			
								Total 2019 Distributions A	123,239,279	6,286,367			
								ROC per 10.31-19 Provision B	123,239,279	6,286,367			
								ROC per 10.31-19 Provision C	123,239,279	6,286,367			
								ROC per 10.31-19 Provision B/A=C	123,239,279	6,286,367			
								5.1026%					
Total Fiscal Distributions Ordinary													
189,712,292													
Total Distributions paid 12/31/18													
66,473,013													
Total Distributions paid 12/31/18													
123,239,279													