Form **8937**
(December 2017)
Department of the Treasury
Internal Revenue Service

Report of Organizational Actions Affecting Basis of Securities

▶ See separate instructions.

OMB No. 1545-0123

Part I Reporting Issuer

1 Issuer's name	2 Issuer's employer identification number (EIN)
Hartford Climate Opportunities Fund	81-0862387

3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact
Denise D. Lauber	610-386-6740	denise.lauber@hartfordfunds.com

6 Number and street (or P.O. box if mail is not delivered to street address) of contact	7 City, town, or post office, state, and ZIP code of contact
690 Lee Road	Wayne, PA 19087

8 Date of action	9 Classification and description
10/16/2026	Tax-Free Reorganization

10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
See Attachment	N/A	See Attachment	N/A

Part II Organizational Action Attach additional statements if needed. See back of form for additional questions.

14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ▶ Effective October 16, 2026, Hartford Climate Opportunities Fund converted all share classes to Hartford Alpha Capture International Value ETF, an exchange-traded fund ("ETF"), in a tax-free reorganization. Class A, Class C, Class F, Class I, Class R3, Class R5, Class R6, and Class Y of Hartford Climate Opportunities Fund (Target Fund) were converted into shares of Hartford Alpha Capture International Value ETF (Acquiring Fund). All eligible assets and liabilities of the Target Fund transferred into the Acquiring Fund in exchange soley for the shares of beneficial interest in the Acquiring Fund. Cash was paid in lieu of fractional shares.

15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ▶ See attachment

16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ▶ See attachment

Part II Organizational Action *(continued)*

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶

IRC Sections 368(a)(1)(F), 368(b), 1032, 361, 357(a), 354, 358, 1223(1), 362(b), 1223(2), and 381(c).

18 Can any resulting loss be recognized? ▶ No loss can be recognized as a result of this event, except for fractional shares redeemed for cash.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶ The reportable tax year is 2026.

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature ▶ Denise Lauber Date ▶ 12/29/2026

Print your name ▶ Denise D. Lauber Title ▶ Assistant Treasurer

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Hartford Climate Opportunities Fund
EIN: 81-0862387
Attachment to Form 8937

Form 8937 Part I, Lines 10 and 12; Part II, Lines 15 and 16

Mutual Fund Conversion to ETF 10/16/2026

Hartford Climate Opportunities Fund (Target Fund)				Hartford Alpha Capture International Value ETF (Acquiring Fund)				
Ticker	CUSIP	Class	NAV	Ticker	CUSIP	Class	NAV	Share Conversion Ratio*
HEOMX	41664T701	A	$ 16.0101	ACIV	41653L123	N/A	$ 40.0000	0.400253
HEONX	41664T800	C	$ 15.2315	ACIV	41653L123	N/A	$ 40.0000	0.380788
HEOFX	41664T636	F	$ 16.2654	ACIV	41653L123	N/A	$ 40.0000	0.406635
HEOIX	41664T883	I	$ 15.6710	ACIV	41653L123	N/A	$ 40.0000	0.391775
HEORX	41664T875	R3	$ 15.6572	ACIV	41653L123	N/A	$ 40.0000	0.391430
HEOTX	41664T859	R5	$ 15.5324	ACIV	41653L123	N/A	$ 40.0000	0.388310
HEOVX	41664T842	R6	$ 15.4346	ACIV	41653L123	N/A	$ 40.0000	0.385865
HEOYX	41664T834	Y	$ 16.0089	ACIV	41653L123	N/A	$ 40.0000	0.400223

**Share Conversion Ratio is the number of Acquiring Fund Shares per one Target Fund share surrendered. Cash was paid in lieu of fractional shares.*